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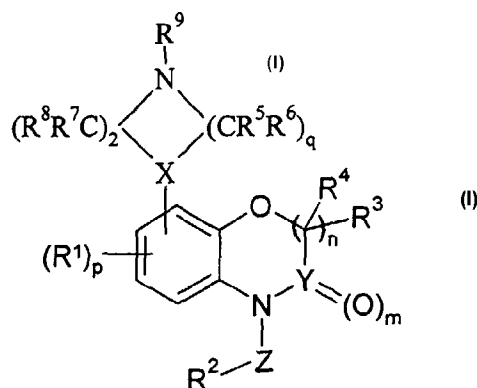
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(54) Title: SUBSTITUTED BENZOXAZINONES AND USES THEREOF



(57) Abstract: The invention provides compound of the Formula (I) and pharmaceutically acceptable salts or prodrugs thereof, wherein Y, Z, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, m, n, p and q are as defined herein. The invention also provides methods for preparing, compositions comprising, and methods for using compounds of Formula (I).

### Substituted Benzoxazinones and Uses Thereof

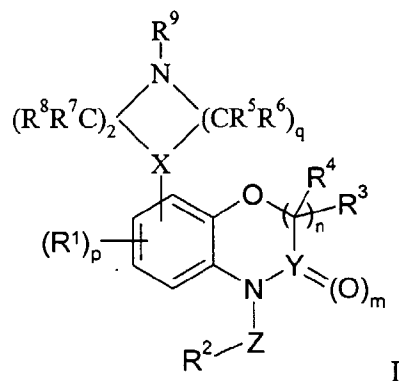
This invention relates to substituted benzoxazinone compounds, and associated compositions, methods for use as therapeutic agents, and methods of preparation thereof.

The actions of 5-hydroxytryptamine (5-HT) as a major modulatory neurotransmitter in the brain are mediated through a number of receptor families termed 5-HT<sub>1</sub>, 5-HT<sub>2</sub>, 5-HT<sub>3</sub>, 5-HT<sub>4</sub>, 5-HT<sub>5</sub>, 5-HT<sub>6</sub>, and 5-HT<sub>7</sub>. Based on a high level of 5-HT<sub>6</sub> receptor mRNA in the brain, it has been stated that the 5-HT<sub>6</sub> receptor may play a role in the pathology and treatment of central nerve system disorders. In particular, 5-HT<sub>2</sub>-selective and 5-HT<sub>6</sub> selective ligands have been identified as potentially useful in the treatment of certain CNS disorders such as Parkinson's disease, Huntington's disease, anxiety, depression, manic depression, psychoses, epilepsy, obsessive compulsive disorders, mood disorders, migraine, Alzheimer's disease (enhancement of cognitive memory), sleep disorders, feeding disorders such as anorexia, bulimia and obesity, panic attacks, akathisia, attention deficit hyperactivity disorder (ADHD), attention deficit disorder (ADD), withdrawal from drug abuse such as cocaine, ethanol, nicotine and benzodiazepines, schizophrenia, and also disorders associated with spinal trauma and/or head injury such as hydrocephalus. Such compounds are also expected to be of use in the treatment of certain gastrointestinal (GI) disorders such as functional bowel disorder. See for example, B.L. Roth et al., *J. Pharmacol. Exp. Ther.*, 1994, 268, pages 1403-14120, D. R. Sibley et al., *Mol. Pharmacol.*, 1993, 43, 320-327, A.J. Sleight et al., *Neurotransmission*, 1995, 11, 1-5, and A. J. Sleight et al., *Serotonin ID Research Alert*, 1997, 2(3), 115-8.

While many 5-hydroxytryptamine modulators have been disclosed, there continues to be a need for compounds that are useful for modulating 5-HT<sub>2</sub>, 5-HT<sub>6</sub> and other 5-HT receptors.

One object of the present invention is (i) a compound of the formula:

- 2 -



or a pharmaceutically acceptable salt or prodrug thereof,

wherein:

Y is C or S;

5 m is 1 when Y is C and m is 2 when Y is S;

n is 1 or 2;

p is from 0 to 3;

q is from 1 to 3;

10 Z is  $-(CR^aR^b)_r-$  or  $-SO_2-$ , where each of  $R^a$  and  $R^b$  is independently hydrogen or alkyl;

r is from 0 to 2;

X is CH or N;

15 each  $R^1$  is independently halo, alkyl, haloalkyl, heteroalkyl, alkoxy, cyano,  $-S(O)_s-R^c$ ,  $-C(=O)-NR^cR^d$ ,  $-SO_2-NR^cR^d$ ,  $-N(R^c)-C(=O)-R^d$ , or  $-C(=O)-R^c$ , where each of  $R^c$  and  $R^d$  is independently hydrogen or alkyl;

s is from 0 to 2;

$R^2$  is optionally substituted aryl or optionally substituted heteroaryl;

20 each of  $R^3$  and  $R^4$  is independently hydrogen or alkyl, or  $R^3$  and  $R^4$  together with their shared carbon may form a cycloalkyl ring of 3 to 6 members; and

- 3 -

each of  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  is independently hydrogen or alkyl, or one of  $R^5$  and  $R^6$  together with one of  $R^7$ ,  $R^8$  and  $R^9$  and the atoms there between may form a ring of 5 to 7 members.

Further objects of the present invention are:

5 (ii) A compound of (i)

wherein:

Y is C or S;

m is 1 when Y is C and m is 2 when Y is S;

n is 1;

10 p is from 0 or 1;

q is 2;

Z is  $-(CR^aR^b)_r$ , where each of  $R^a$  and  $R^b$  is independently hydrogen or alkyl;

r is 1;

15 X is N;

$R^2$  is optionally substituted aryl or optionally substituted heteroaryl;

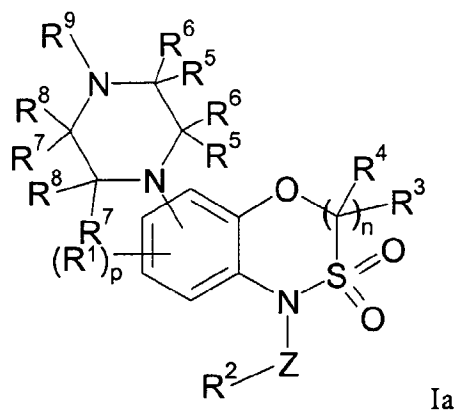
each of  $R^3$  and  $R^4$  is independently hydrogen or alkyl, or  $R^3$  and  $R^4$  together with their shared carbon may form a cycloalkyl ring of 3 to 6 members; and

20 each of  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  is independently hydrogen or alkyl.

(iii) The compound of (ii), wherein each of  $R^a$  and  $R^b$  is independently hydrogen or methyl;  $R^2$  is optionally substituted phenyl, naphthyl or optionally substituted pyridine; each of  $R^3$  and  $R^4$  is independently hydrogen or methyl, or  $R^3$  and  $R^4$  together form a cyclobutyl ring.

(iv) The compound of (iii), wherein  $R^2$  is 2-halophenyl, 3-halophenyl, 4-halophenyl, naphthyl-2-yl, 3-cyanophenyl, 4-cyanophenyl, 3-nitrophenyl, 3-aminophenyl, 3-methoxyphenyl, 3-ureaphenyl, 3-methylsulfonylamino-phenyl or pyridine-4-yl.

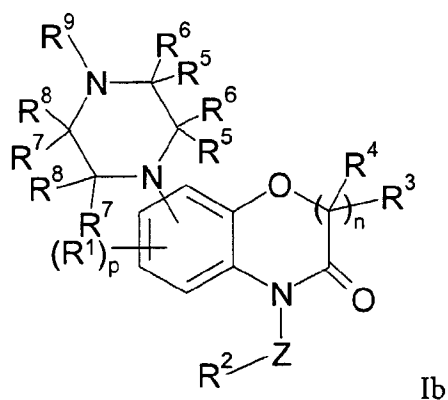
(v) The compound of (i), wherein said compound is of the formula



5

or a pharmaceutically acceptable salt or prodrug thereof, wherein Z,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ , n, and p are as defined in (i).

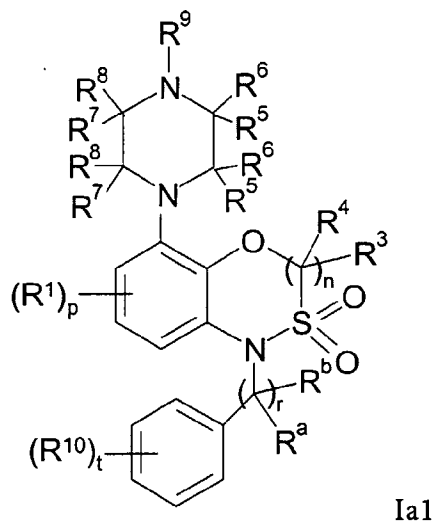
(vi) The compound of (i), wherein said compound is of the formula



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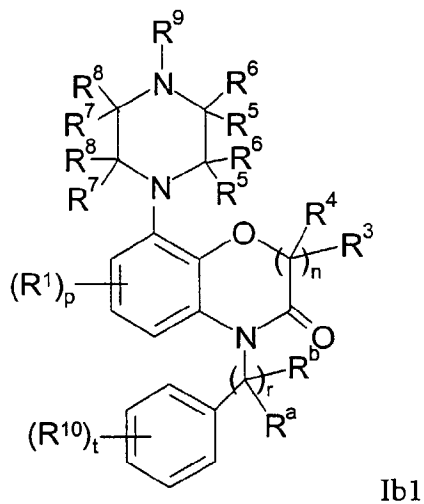
or a pharmaceutically acceptable salt or prodrug thereof, wherein Z,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ , n, and p are as defined in (i).

(vii) The compound of (i), wherein said compound is of the formula



or a pharmaceutically acceptable salt or prodrug thereof, wherein  $R^1$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^a$ ,  $R^b$ ,  $n$ ,  $r$  and  $p$  are as defined in (i), and wherein  $t$  is from 0 to 4; and  
 5 each  $R^{10}$  independently is hydrogen, halo alkyl, alkoxy, cyano, nitro, amino, urea or ethanesulfonylamino.

(viii) The compound of (i), wherein said compound is of the formula



or a pharmaceutically acceptable salt or prodrug thereof, wherein  $R^1$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^a$ ,  $R^b$ ,  $n$ ,  $r$  and  $p$  are as defined in (i), and wherein  $t$  is from 0 to 4; and  
 10 each  $R^{10}$  independently is hydrogen, halo alkyl, alkoxy, cyano, nitro, amino, urea or ethanesulfonylamino.

(ix) The compound of (i) to (viii), wherein said compound is selected from:

- 4-benzyl-6-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-benzyl-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-fluoro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-chloro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 5 4-(3-chloro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-benzyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-benzyl-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(4-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 10 4-(4-chloro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(4-fluoro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-fluoro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(4-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 15 6-fluoro-4-naphthalen-2-ylmethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(3-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 3-(3-oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-benzonitrile;
- 4-(3-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-benzyl-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 20 (R)-4-benzyl-2-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-Benzyl-6-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(4-Fluoro-benzyl)-6-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- (S)-4-Benzyl-2-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;

- 8-Piperazin-1-yl-4-pyridin-4-ylmethyl-4H-benzo[1,4]oxazin-3-one;
- 4-Benzyl-6-methyl-8-(4-methyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one;
- 4-Benzyl-8-(4-methyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one;
- 4-(1-Phenyl-ethyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 5 4-(3-Methoxy-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(3-Nitro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(3-Amino-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-  
benzonitrile;
- 10 N-[3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-  
phenyl]-methanesulfonamide;
- 4-(4-Fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(3-Fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- [3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-phenyl]-  
15 urea;
- 4-(3-Chloro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-Benzyl-8-(3,5-dimethyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one;
- 4-(4-Chloro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-Benzyl-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 20 4-(4-Chloro-benzyl)-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-  
benzo[1,4]oxazin-3-one;
- 6-Fluoro-4-(3-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-  
benzo[1,4]oxazin-3-one;
- 6-Fluoro-4-(2-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-  
25 benzo[1,4]oxazin-3-one;



6-Fluoro-4-(4-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one

4-(3-Chloro-benzyl)-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;

5 4-Benzyl-8-(3,3-dimethyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one;

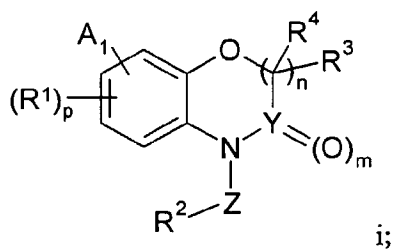
1-Benzyl-5-piperazin-1-yl-1H-benzo[1,3,4]oxathiazine 2,2-dioxide; and

4-Benzyl-2,2-spiro-cyclobutan-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one.

(x) A pharmaceutical composition comprising an efficacious amount of the compound of (i) in admixture with a pharmaceutically acceptable carrier.

10 (xi) A process for producing a substituted benzoxazinone compound, said process comprising:

contacting an N-arylalkyl benzoxazinone of the formula



wherein:

15 A<sub>1</sub> is a leaving group;

Z, Y, R<sup>1</sup>, R<sup>3</sup>, R<sup>4</sup>, m, n, and p are as defined in (i);

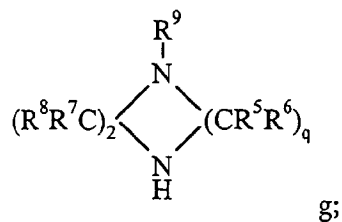
R<sup>2</sup> is aryl or heteroaryl which is optionally substituted by (R<sup>10</sup>)<sub>t</sub>, wherein

t is from 0 to 4;

each R<sup>10</sup> is independently hydrogen, halo, alkyl, alkoxy, cyano, nitro,  
20 amino, urea or ethanesulfonylamino;

with a heterocyclic compound of the formula:

- 9 -

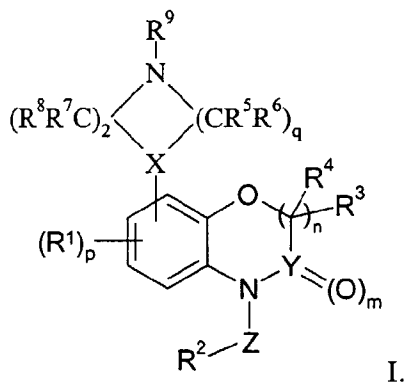


wherein:

q is from 1 to 3; and

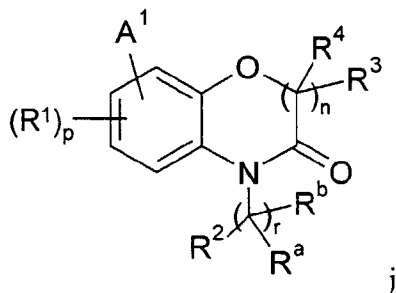
each of  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  is independently hydrogen or alkyl, or one of  $R^5$  and  $R^6$  together with one of  $R^7$ ,  $R^8$  and  $R^9$  may form a ring of 5 to 7 members;

in the presence of a palladium catalyst to produce the heterocyclyl-substituted N-arylalkyl benzoxazinone compound of the formula:



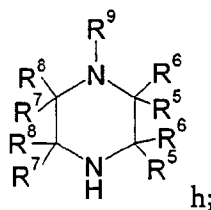
(xii) The process of (xi), said process comprising:

contacting an N-arylalkyl benzoxazinone of the formula

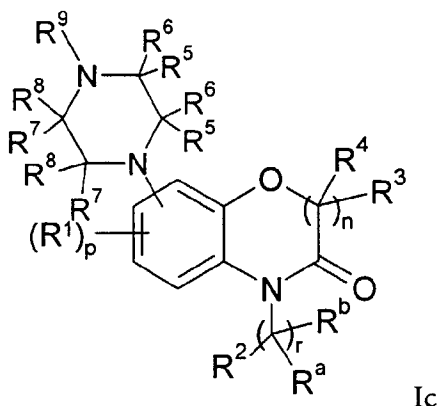


with the heterocyclic compound of the formula

- 10 -



such that the heterocyclyl-substituted N-arylalkyl benzoxazinone compound is of the formula:



5 and  $R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^9, R^{10}$ ,  $n$ ,  $p$ ,  $r$  and  $t$  are as described in (xi).

(xiii) The process of (xi), wherein the leaving groups  $A^1$  is halo.

(xiv) Use of one or more compounds of any (i) to (ix) for the manufacture of a medicament for the treatment or prevention of a central nervous system disease state.

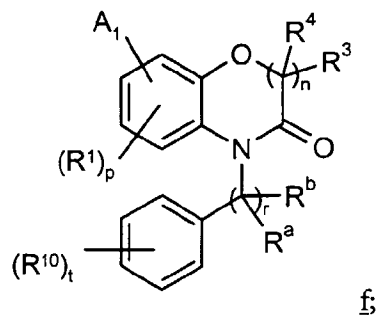
(xv) The use of (xiv), wherein the disease state is selected from psychoses, schizophrenia,  
 10 manic depressions, neurological disorders, memory disorders, attention deficit disorder, Parkinson's disease, amyotrophic lateral sclerosis, Alzheimer's disease and Huntington's disease.

(xvi) Use of one or more compound of any (i) to (ix) for the manufacture of a medicament for the treatment or prevention of a disorder of the gastrointestinal tract.

15 The invention also provides methods for preparing, compositions comprising, and methods for using the aforementioned compounds. The methods of the invention comprise, in one embodiment,

(a) contacting an N-arylalkyl benzoxazinone of the formula

- 11 -



wherein:

$A_1$  is a leaving group,

$n$  is 1 or 2;

5  $p$  is from 0 to 3;

$r$  is from 0 to 2;

$t$  is from 0 to 4;

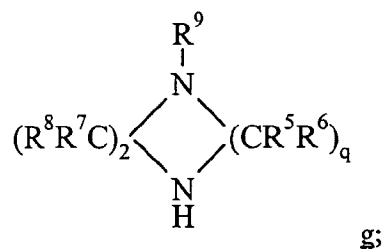
each of  $R^a$  and  $R^b$  is independently hydrogen or alkyl;

10 each  $R^1$  is independently halo, alkyl, haloalkyl, heteroalkyl, alkoxy, cyano,  $-S(O)_s-R^c$ ,  $-C(=O)-NR^cR^d$ ,  $-SO_2-NR^cR^d$ ,  $-N(R^c)-C(=O)-R^d$ , or  $-C(=O)R^c$ , where each of  $R^c$  and  $R^d$  is independently hydrogen or alkyl and  $s$  is from 0 to 2;

each of  $R^3$  and  $R^4$  is independently hydrogen or alkyl; and

each  $R^{10}$  is independently halo, alkyl, alkoxy or cyano;

15 with a heterocyclic compound of the formula:

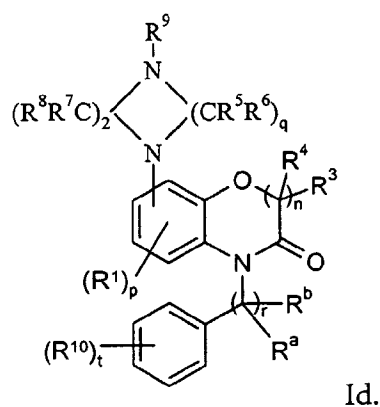


wherein:

$q$  is from 1 to 3; and

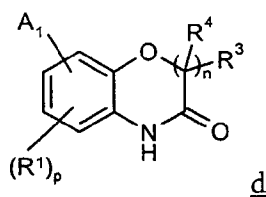
each of  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  is independently hydrogen or alkyl, or one of  $R^5$  and  $R^6$  together with one of  $R^7$ ,  $R^8$  and  $R^9$  may form a ring of 5 to 7 members;

in the presence of a palladium catalyst to produce the heterocycl-  
5 arylalkyl benzoxaninone compound of the formula:



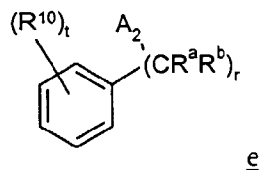
The methods may further comprise:

(b) contacting a benzoxazinone of the formula:



10 wherein  $n$ ,  $p$ ,  $A_1$ ,  $R^1$ ,  $R^3$  and  $R^4$  are as defined above,

with an alkylating agent of the formula:

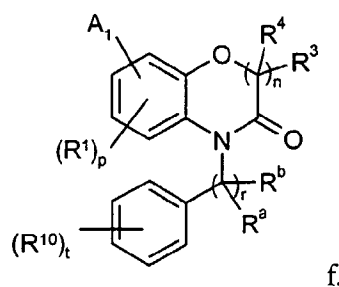


wherein:

$A_2$  is a leaving group and may be the same or different from  $A_1$ ; and

15  $r$ ,  $t$ ,  $R^a$ ,  $R^b$  and  $R^{10}$  are as described in (a);

to produce the N-arylalkyl benzoxazinone of the formula



The invention provides substituted benzoxazinone compounds, associated compositions, methods for use as therapeutic agents, and methods of preparation thereof. In specific embodiments the invention provides piperazinyl- substituted benzo[1,4]oxazine-3-one compounds and associated pharmaceutical compositions, and methods for using the same in the treatment of CNS diseases and gastrointestinal tract disorders.

Unless otherwise stated, the following terms used in this Application, including the specification and claims, have the definitions given below. It must be noted that, as used in the specification and the appended claims, the singular forms “a”, “an,” and “the” include plural referents unless the context clearly dictates otherwise.

“Agonist” refers to a compound that enhances the activity of another compound or receptor site.

“Alkyl” means the monovalent linear or branched saturated hydrocarbon moiety, consisting solely of carbon and hydrogen atoms, having from one to twelve carbon atoms.

“Lower alkyl” refers to an alkyl group of one to six carbon atoms. Examples of alkyl groups include, but are not limited to, methyl, ethyl, propyl, isopropyl, isobutyl, sec-butyl, tert-butyl, pentyl, n-hexyl, octyl, dodecyl, and the like.

“Alkylene” means a linear saturated divalent hydrocarbon radical of one to six carbon atoms or a branched saturated divalent hydrocarbon radical of three to six carbon atoms, e.g., methylene, ethylene, 2,2-dimethylethylene, propylene, 2-methylpropylene, butylene, pentylene, and the like.

"Alkoxy" means a moiety of the formula  $-OR$ , wherein  $R$  is an alkyl moiety as defined herein. Examples of alkoxy moieties include, but are not limited to, methoxy, ethoxy, isopropoxy, and the like.

"Antagonist" refers to a compound that diminishes or prevents the action of  
5 another compound or receptor site.

"Aryl" means a monovalent cyclic aromatic hydrocarbon moiety consisting of a mono-, bi- or tricyclic aromatic ring. The aryl group can be optionally substituted as defined herein. Examples of aryl moieties include, but are not limited to, optionally substituted phenyl, naphthyl, phenanthryl, fluorenyl, indenyl, pentalenyl, azulenyl,  
10 oxydiphenyl, biphenyl, methylenediphenyl, aminodiphenyl, diphenylsulfidyl, diphenylsulfonyl, diphenylisopropylidenyl, benzodioxanyl, benzofuranyl, benzodioxyl, benzopyranyl, benzoxazinyl, benzoxazinonyl, benzopiperadiny, benzopiperazinyl, benzopyrrolidinyl, benzomorpholinyl, methylenedioxyphenyl, ethylenedioxyphenyl, and the like, including partially hydrogenated derivatives thereof.

15 "Arylalkyl" and "Aralkyl", which may be used interchangeably, mean a radical  $-R^aR^b$  where  $R^a$  is an alkylene group and  $R^b$  is an aryl group as defined herein; *e.g.*, benzyl, phenylethyl, 3-(3-chlorophenyl)-2-methylpentyl, and the like are examples of arylalkyl.

"Cycloalkyl" means a monovalent saturated carbocyclic moiety consisting of mono- or bicyclic rings. Cycloalkyl can optionally be substituted with one or more  
20 substituents, wherein each substituent is independently hydroxy, alkyl, alkoxy, halo, haloalkyl, amino, monoalkylamino, or dialkylamino, unless otherwise specifically indicated. Examples of cycloalkyl moieties include, but are not limited to, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, and the like, including partially unsaturated derivatives thereof.

25 "Cycloalkylalkyl" means a moiety of the formula  $-R'-R$ , where  $R'$  is alkylene and  $R$  is cycloalkyl as defined herein.

"Heteroalkyl" means an alkyl radical as defined herein wherein one, two or three hydrogen atoms have been replaced with a substituent independently selected from the group consisting of  $-OR^a$ ,  $-NR^bR^c$ , and  $-S(O)_nR^d$  (where  $n$  is an integer from 0 to 2), with  
30 the understanding that the point of attachment of the heteroalkyl radical is through a carbon atom, wherein  $R^a$  is hydrogen, acyl, alkyl, cycloalkyl, or cycloalkylalkyl;  $R^b$  and  $R^c$  are independently of each other hydrogen, acyl, alkyl, cycloalkyl, or cycloalkylalkyl; and when  $n$  is 0,  $R^d$  is hydrogen, alkyl, cycloalkyl, or cycloalkylalkyl, and when  $n$  is 1 or 2,  $R^d$

is alkyl, cycloalkyl, cycloalkylalkyl, amino, acylamino, monoalkylamino, or dialkylamino. Representative examples include, but are not limited to, 2-hydroxyethyl, 3-hydroxypropyl, 2-hydroxy-1-hydroxymethylethyl, 2,3-dihydroxypropyl, 1-hydroxymethylethyl, 3-hydroxybutyl, 2,3-dihydroxybutyl, 2-hydroxy-1-methylpropyl, 2-aminoethyl, 3-aminopropyl, 2-methylsulfonylethyl, aminosulfonylmethyl, aminosulfonylethyl, aminosulfonylpropyl, methylaminosulfonylmethyl, methylaminosulfonylethyl, methylaminosulfonylpropyl, and the like.

“Heteroaryl” means a monocyclic or bicyclic radical of 5 to 12 ring atoms having at least one aromatic ring containing one, two, or three ring heteroatoms selected from N, O, or S, the remaining ring atoms being C, with the understanding that the attachment point of the heteroaryl radical will be on an aromatic ring. The heteroaryl ring may be optionally substituted as defined herein. Examples of heteroaryl moieties include, but are not limited to, optionally substituted imidazolyl, oxazolyl, isoxazolyl, thiazolyl, isothiazolyl, oxadiazolyl, thiadiazolyl, pyrazinyl, thienyl, benzothienyl, thiophenyl, furanyl, pyranal, pyridyl, pyrrolyl, pyrazolyl, pyrimidyl, quinoliny, isoquinoliny, benzofuryl, benzothiophenyl, benzothiopyranal, benzimidazolyl, benzooxazolyl, benzooxadiazolyl, benzothiazolyl, benzothiadiazolyl, benzopyranal, indolyl, isoindolyl, triazolyl, triazinyl, quinoxaliny, purinyl, quinazoliny, quinoliziny, naphthyridiny, pteridiny, carbazolyl, azepiny, diazepiny, acridiny and the like, including partially hydrogenated derivatives thereof.

The terms “halo” and “halogen”, which may be used interchangeably, refer to a substituent fluoro, chloro, bromo, or iodo.

“Haloalkyl” means alkyl as defined herein in which one or more hydrogen has been replaced with same or different halogen. Exemplary haloalkyls include  $-\text{CH}_2\text{Cl}$ ,  $-\text{CH}_2\text{CF}_3$ ,  $-\text{CH}_2\text{CCl}_3$ , perfluoroalkyl (e.g.,  $-\text{CF}_3$ ), and the like.

“Heterocycloamino” means a saturated ring wherein at least one ring atom is N, NH or N-alkyl and the remaining ring atoms form an alkylene group.

“Heterocyclyl” means a monovalent saturated moiety, consisting of one to three rings, incorporating one, two, or three or four heteroatoms (chosen from nitrogen, oxygen or sulfur). The heterocyclyl ring may be optionally substituted as defined herein. Examples of heterocyclyl moieties include, but are not limited to, optionally substituted piperidiny, piperazinyl, homopiperazinyl, azepiny, pyrrolidiny, pyrazolidiny, imidazoliny, imidazolidiny, pyridiny, pyridazinyl, pyrimidiny, oxazolidiny,



- isoxazolidinyl, morpholinyl, thiazolidinyl, isothiazolidinyl, quinuclidinyl, quinolinyl, isoquinolinyl, benzimidazolyl, thiadiazolylidiny, benzothiazolidinyl, benzoazolylidiny, dihydrofuryl, tetrahydrofuryl, dihydropyranyl, tetrahydropyranyl, thiamorpholinyl, thiamorpholinylsulfoxide, thiamorpholinylsulfone, dihydroquinolinyl,
- 5 dihydrisoquinolinyl, tetrahydroquinolinyl, tetrahydrisoquinolinyl, and the like.

- "Optionally substituted", when used in association with "aryl", "phenyl", "heteroaryl" or "heterocyclyl", means an aryl, phenyl, heteroaryl or heterocyclyl which is optionally substituted independently with one to four substituents, preferably one or two substituents selected from alkyl, cycloalkyl, cycloalkylalkyl, heteroalkyl, hydroxyalkyl,
- 10 halo, nitro, cyano, hydroxy, alkoxy, amino, acylamino, mono-alkylamino, di-alkylamino, haloalkyl, haloalkoxy, heteroalkyl, -COR (where R is hydrogen, alkyl, phenyl or phenylalkyl), -(CR'R")<sub>n</sub>-COOR (where n is an integer from 0 to 5, R' and R" are independently hydrogen or alkyl, and R is hydrogen, alkyl, cycloalkyl, cycloalkylalkyl, phenyl or phenylalkyl), or -(CR'R")<sub>n</sub>-CONR<sup>a</sup>R<sup>b</sup> (where n is an integer from 0 to 5, R'
- 15 and R" are independently hydrogen or alkyl, and R<sup>a</sup> and R<sup>b</sup> are, independently of each other, hydrogen, alkyl, cycloalkyl, cycloalkylalkyl, phenyl or phenylalkyl).

- "Leaving group" means the group with the meaning conventionally associated with it in synthetic organic chemistry, i.e., an atom or group displaceable under substitution reaction conditions. Examples of leaving groups include, but are not limited to, halogen,
- 20 alkane- or arylenesulfonyloxy, such as methanesulfonyloxy, ethanesulfonyloxy, thiomethyl, benzenesulfonyloxy, tosyloxy, and thienyloxy, dihalophosphinoyloxy, optionally substituted benzyloxy, isopropyloxy, acyloxy, and the like.

"Modulator" means a molecule that interacts with a target. The interactions include, but are not limited to, agonist, antagonist, and the like, as defined herein.

- 25 "Optional" or "optionally" means that the subsequently described event or circumstance may but need not occur, and that the description includes instances where the event or circumstance occurs and instances in which it does not.

"Disease state" means any disease, condition, symptom, or indication.

- "Inert organic solvent" or "inert solvent" means the solvent is inert under the
- 30 conditions of the reaction being described in conjunction therewith, including for example, benzene, toluene, acetonitrile, tetrahydrofuran, N,N-dimethylformamide, chloroform, methylene chloride or dichloromethane, dichloroethane, diethyl ether, ethyl

acetate, acetone, methyl ethyl ketone, methanol, ethanol, propanol, isopropanol, *tert*-butanol, dioxane, pyridine, and the like. Unless specified to the contrary, the solvents used in the reactions of the present invention are inert solvents.

“Pharmaceutically acceptable” means that which is useful in preparing a  
5 pharmaceutical composition that is generally safe, non-toxic, and neither biologically nor otherwise undesirable and includes that which is acceptable for veterinary as well as human pharmaceutical use.

“Pharmaceutically acceptable salts” of a compound means salts that are pharmaceutically acceptable, as defined herein, and that possess the desired  
10 pharmacological activity of the parent compound. Such salts include:

acid addition salts formed with inorganic acids such as hydrochloric acid, hydrobromic acid, sulfuric acid, nitric acid, phosphoric acid, and the like; or formed with organic acids such as acetic acid, benzenesulfonic acid, benzoic, camphorsulfonic acid, citric acid, ethanesulfonic acid, fumaric acid, glucoheptonic acid, gluconic acid, glutamic acid,  
15 glycolic acid, hydroxynaphtoic acid, 2-hydroxyethanesulfonic acid, lactic acid, maleic acid, malic acid, malonic acid, mandelic acid, methanesulfonic acid, muconic acid, 2-naphthalenesulfonic acid, propionic acid, salicylic acid, succinic acid, tartaric acid, *p*-toluenesulfonic acid, trimethylacetic acid, and the like; or

salts formed when an acidic proton present in the parent compound either is replaced by  
20 a metal ion, e.g., an alkali metal ion, an alkaline earth ion, or an aluminum ion; or coordinates with an organic or inorganic base. Acceptable organic bases include diethanolamine, ethanolamine, *N*-methylglucamine, triethanolamine, tromethamine, and the like. Acceptable inorganic bases include aluminum hydroxide, calcium hydroxide, potassium hydroxide, sodium carbonate and sodium hydroxide.

25 The preferred pharmaceutically acceptable salts are the salts formed from acetic acid, hydrochloric acid, sulphuric acid, methanesulfonic acid, maleic acid, phosphoric acid, tartaric acid, citric acid, sodium, potassium, calcium, zinc, and magnesium.

It should be understood that all references to pharmaceutically acceptable salts include solvent addition forms (solvates) or crystal forms (polymorphs) as defined  
30 herein, of the same acid addition salt.

The terms “pro-drug” and “prodrug”, which may be used interchangeably herein, refer to any compound which releases an active parent drug according to formula I *in*

*vivo* when such prodrug is administered to a mammalian subject. Prodrugs of a compound of formula I are prepared by modifying one or more functional group(s) present in the compound of formula I in such a way that the modification(s) may be cleaved *in vivo* to release the parent compound. Prodrugs include compounds of formula I wherein a hydroxy, amino, or sulfhydryl group in a compound of Formula I is bonded to any group that may be cleaved *in vivo* to regenerate the free hydroxyl, amino, or sulfhydryl group, respectively. Examples of prodrugs include, but are not limited to, esters (e.g., acetate, formate, and benzoate derivatives), carbamates (e.g., N,N-dimethylaminocarbonyl) of hydroxy functional groups in compounds of formula I, N-acyl derivatives (e.g. N-acetyl) N-Mannich bases, Schiff bases and enaminones of amino functional groups, oximes, acetals, ketals and enol esters of ketone and aldehyde functional groups in compounds of Formula I, and the like, see Bundegaard, H. "Design of Prodrugs" p1-92, Elsevier, New York-Oxford (1985), and the like.

"Protective group" or "protecting group" means the group which selectively blocks one reactive site in a multifunctional compound such that a chemical reaction can be carried out selectively at another unprotected reactive site in the meaning conventionally associated with it in synthetic chemistry. Certain processes of this invention rely upon the protective groups to block reactive nitrogen and/or oxygen atoms present in the reactants. For example, the terms "amino-protecting group" and "nitrogen protecting group" are used interchangeably herein and refer to those organic groups intended to protect the nitrogen atom against undesirable reactions during synthetic procedures. Exemplary nitrogen protecting groups include, but are not limited to, trifluoroacetyl, acetamido, benzyl (Bn), benzyloxycarbonyl (carbobenzyloxy, CBZ), p-methoxybenzyloxycarbonyl, p-nitrobenzyloxycarbonyl, *tert*-butoxycarbonyl (BOC), and the like. The artisan in the art will know how to chose a group for the ease of removal and for the ability to withstand the following reactions.

"Solvates" means solvent additions forms that contain either stoichiometric or non stoichiometric amounts of solvent. Some compounds have a tendency to trap a fixed molar ratio of solvent molecules in the crystalline solid state, thus forming a solvate. If the solvent is water the solvate formed is a hydrate, when the solvent is alcohol, the solvate formed is an alcoholate. Hydrates are formed by the combination of one or more molecules of water with one of the substances in which the water retains its molecular state as H<sub>2</sub>O, such combination being able to form one or more hydrate.

"Subject" means mammals and non-mammals. Mammals means any member of the mammalia class including, but not limited to, humans; non-human primates such as

chimpanzees and other apes and monkey species; farm animals such as cattle, horses, sheep, goats, and swine; domestic animals such as rabbits, dogs, and cats; laboratory animals including rodents, such as rats, mice, and guinea pigs; and the like. Examples of non-mammals include, but are not limited to, birds, and the like. The term "subject" does not denote a particular age or sex.

"Therapeutically effective amount" means an amount of a compound that, when administered to a subject for treating a disease state, is sufficient to effect such treatment for the disease state. The "therapeutically effective amount" will vary depending on the compound, disease state being treated, the severity or the disease treated, the age and relative health of the subject, the route and form of administration, the judgement of the attending medical or veterinary practitioner, and other factors.

The terms "those defined above" and "those defined herein" when referring to a variable incorporates by reference the broad definition of the variable as well as preferred, more preferred and most preferred definitions, if any.

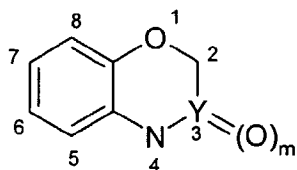
"Treating" or "treatment" of a disease state includes:

- (i) preventing the disease state, i.e. causing the clinical symptoms of the disease state not to develop in a subject that may be exposed to or predisposed to the disease state, but does not yet experience or display symptoms of the disease state.
- (ii) inhibiting the disease state, *i.e.*, arresting the development of the disease state or its clinical symptoms, or
- (iii) relieving the disease state, *i.e.*, causing temporary or permanent regression of the disease state or its clinical symptoms.

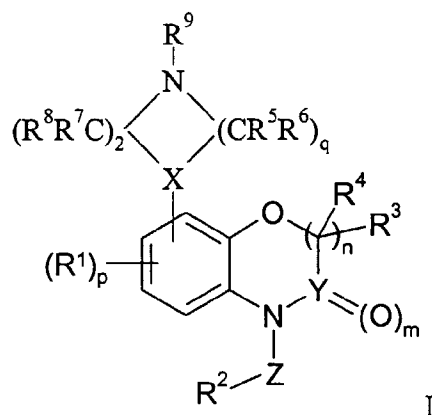
The terms "treating", "contacting" and "reacting" when referring to a chemical reaction means adding or mixing two or more reagents under appropriate conditions to produce the indicated and/or the desired product. It should be appreciated that the reaction which produces the indicated and/or the desired product may not necessarily result directly from the combination of two reagents which were initially added, *i.e.*, there may be one or more intermediates which are produced in the mixture which ultimately leads to the formation of the indicated and/or the desired product.

In general, the nomenclature used in this Application is based on AUTONOM<sup>TM</sup> v.4.0, a Beilstein Institute computerized system for the generation of IUPAC systematic

nomenclature. For convenience, the IUPAC numbering of the positions of representative benzoxazinone compounds described herein is shown by the formula:



The invention provides compounds of the general formula:



5

I

and pharmaceutically acceptable salts or prodrugs thereof,

wherein:

Y is C or S; preferably Y is C;

m is 1 when Y = C, and m is 2 when Y = S;

10

n is 1 or 2; preferably n is 1;

p is from 0 to 3; preferably p is 1;

q is from 1 to 3; preferably q is 2;

Z is  $-(CR^aR^b)_r-$  or  $-SO_2-$  where each of  $R^a$  and  $R^b$  is independently hydrogen or alkyl; preferably Z is  $-(CR^aR^b)_r-$  and preferably  $R^a$  and  $R^b$  are hydrogen;

15

r is from 0 to 2; preferably r is 2;

X is CH or N; preferably X is N;

each  $R^1$  is independently halo, alkyl, haloalkyl, heteroalkyl, alkoxy, cyano,  $-S(O)_s-R^c$ ,  $-C(=O)-NR^cR^d$ ,  $-SO_2-NR^cR^d$ ,  $-N(R^c)-C(=O)-R^d$ , or  $-C(=O)R^c$ , where each of  $R^c$  and  $R^d$  is independently hydrogen or alkyl; preferably each  $R^1$  is independently halo, alkyl, or alkoxy;

5  $s$  is from 0 to 2;

$R^2$  is aryl or heteroaryl; preferably  $R^2$  is aryl, and more preferably optionally substituted phenyl or naphthyl such as 2-halophenyl, 3-halophenyl, 4-halophenyl, naphthylen-2-yl or 4-cyanophenyl;

10 each of  $R^3$  and  $R^4$  is independently hydrogen or alkyl, or  $R^3$  and  $R^4$  together with their shared carbon may form a cycloalkyl ring of 3 to 6 members; and

each of  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  is independently hydrogen or alkyl, or one of  $R^5$  and  $R^6$  together with one of  $R^7$ ,  $R^8$  and  $R^9$  together with atoms therebetween may form a ring of 5 to 7 members.

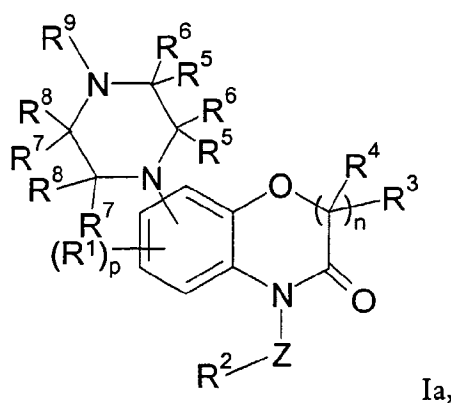
15 preferably  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  are hydrogen;

In embodiments where any of  $R^1$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^a$ ,  $R^b$  are alkyl, they preferably are lower alkyl such as  $(C_1-C_6)$ alkyl, and more preferably  $(C_1-C_4)$ alkyl.

20 It is to be understood that the scope of this invention encompasses not only the various isomers which may exist but also the various mixture of isomers which may be formed. Furthermore, the scope of the present invention also encompasses solvates and salts of Compounds of Formula I.

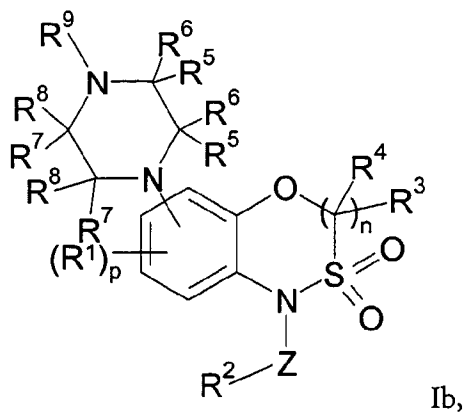
25 In certain embodiments,  $Z$  is  $-(CR^aR^b)_r-$ ,  $X$  is N, and  $q$  is 2.  $R^2$  in such embodiments may comprise, for example, 2-halophenyl, 3-halophenyl, 4-halophenyl, naphthylen-2-yl, 3-cyanophenyl, 4-cyanophenyl, 3-nitrophenyl, 3-aminophenyl, 3-methoxyphenyl, 3-ureaphenyl, or 3-methylsulfonylamino-phenyl.  $X$  in many embodiments may be located at position 8 of the benzoxazinone ring system. In other embodiments  $X$  may be located at the 6-position of the benzoxazinone ring system.

In some embodiments of the invention, compounds of formula I may be of the formula Ia:



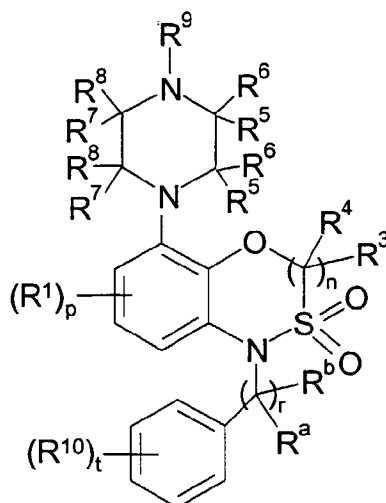
wherein Y, Z, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, m, n, and p are as defined herein.

5 In certain embodiments, compounds of formula I may be of formula Ib:



wherein Z, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, n, r and p are as defined herein.

In some presently preferred embodiments, compounds of formula I may be of formula Ia1:



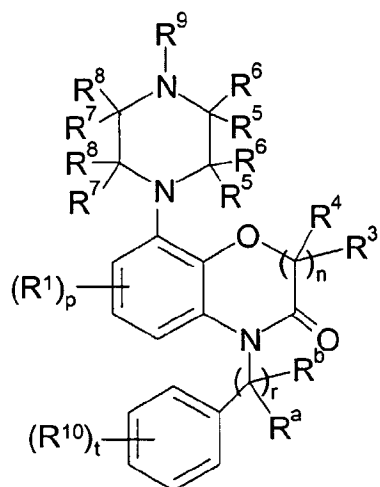
Ia1,

wherein  $R^1$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^a$ ,  $R^b$ ,  $n$ ,  $r$  and  $p$  are as defined herein, and wherein:

$t$  is from 0 to 4; preferably  $t$  is 1; and

5 each  $R^{10}$  independently is halo, alkyl, alkoxy, carbamyl, alkylsulfonamido, or cyano; preferably  $R^{10}$  is halo or alkoxy.

In still other embodiments, the subject compounds may be of the formula Ib1



Ib1,

wherein  $R^1$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^{10}$ ,  $R^a$ ,  $R^b$ ,  $n$ ,  $r$ ,  $p$  and  $t$  are as defined herein.

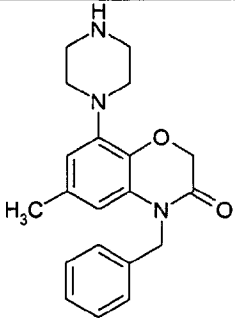
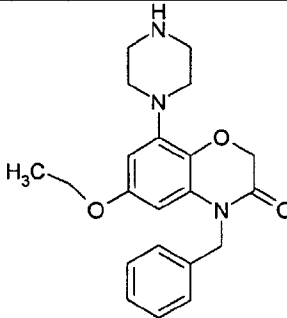
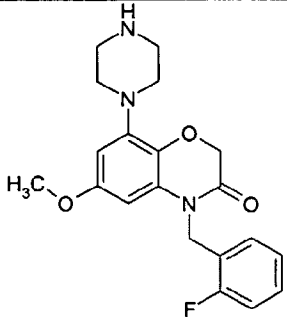
10 In specific embodiments of formula Ib1,  $R^1$  may be halo, methyl or methoxy,  $R^3$  and  $R^4$  may each independently be hydrogen or methyl or together with their shared carbon form a cyclobutyl group,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ , may each independently be hydrogen or methyl,  $R^a$  and  $R^b$  each independently may be hydrogen or methyl,

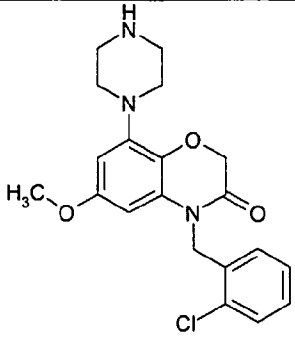
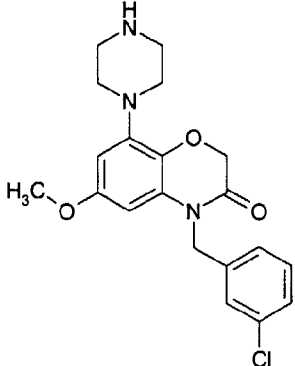
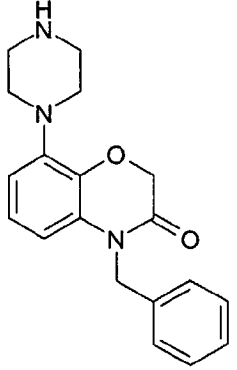
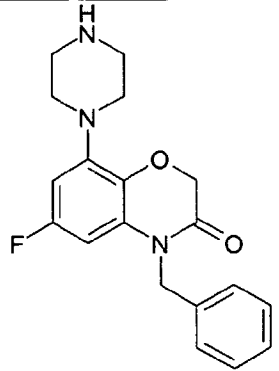


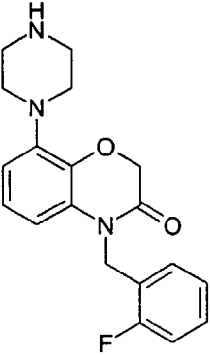
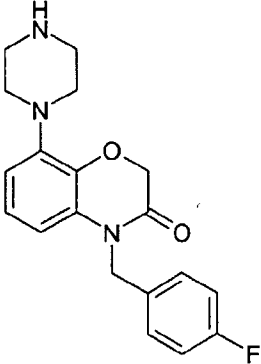
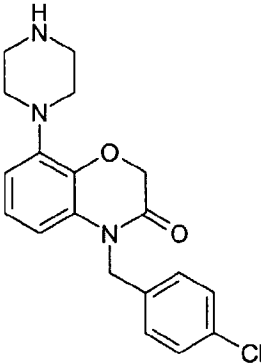
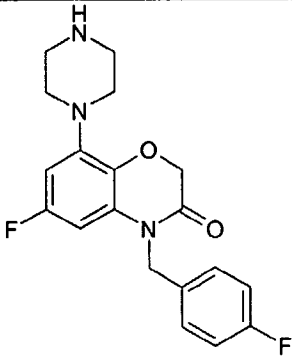
and each R<sup>10</sup> may be hydrogen, halo, nitro, cyano, amino, urea, methoxy or methanesulfonylamino.

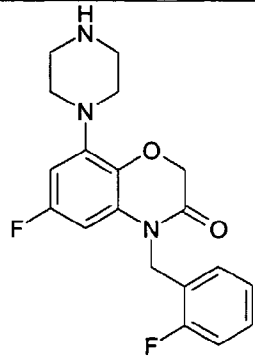
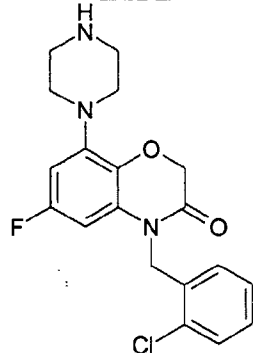
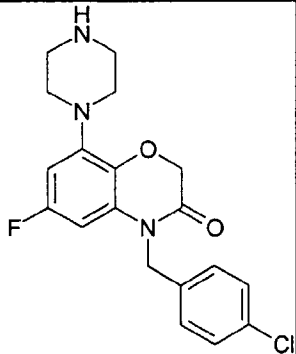
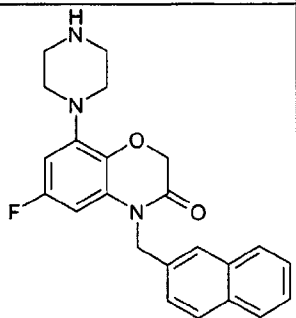
Representative compounds in accordance with the invention are shown in Table 1.  
Melting point data in Table 1 is for the hydrochloride salts of the compounds shown  
5 unless otherwise indicated.

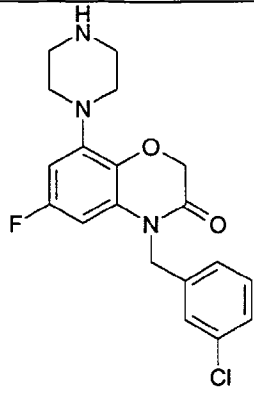
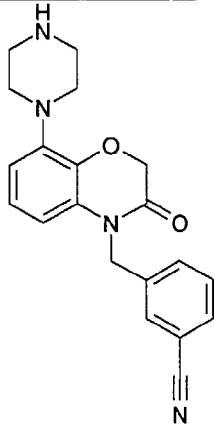
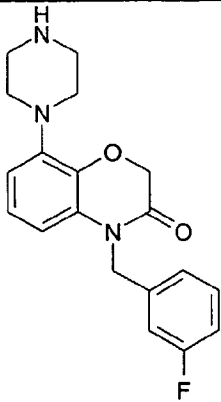
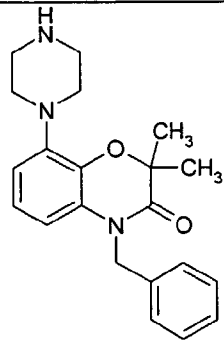
TABLE 1

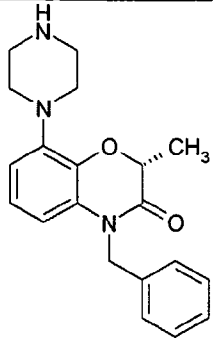
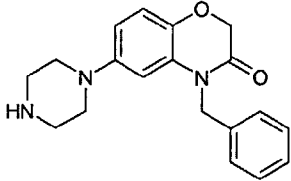
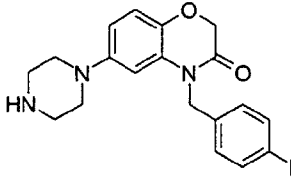
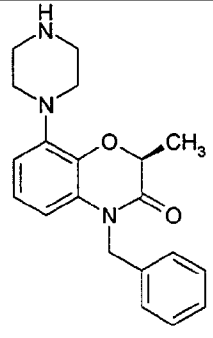
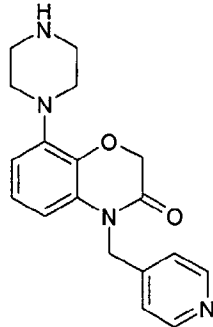
#	<u>Structure</u>	<u>Name (AUTONOM)</u>	<u>MP, °C</u>  <u>or</u> <u>M+H</u>	<u>Example</u>
1		4-benzyl-6-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	256.8-263.9	1
2		4-benzyl-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	354	1
3		4-(2-fluoro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	268.1-271.0	1

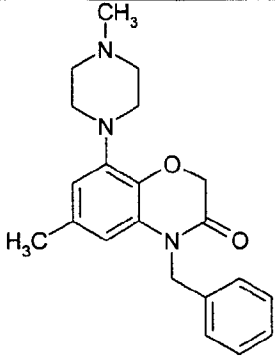
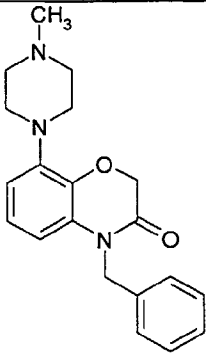
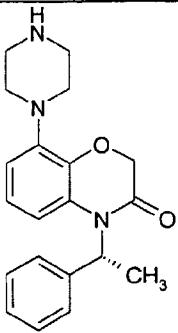
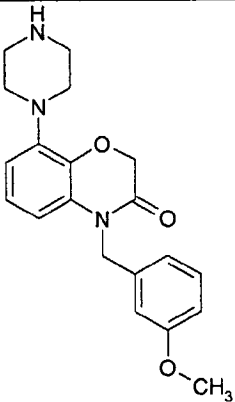
4		4-(2-chloro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	286.9-288.9	1
5		4-(3-chloro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	55.2-58.8, M+H = 388	1
6		4-benzyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	235.9-236.2	1
7		4-benzyl-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	268.2-268.3	1

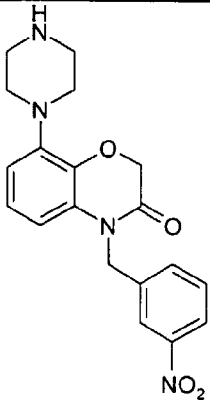
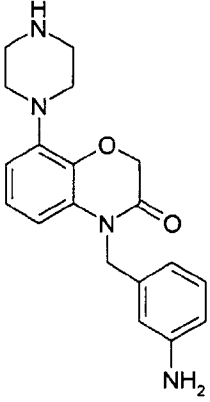
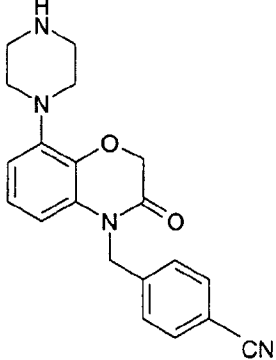
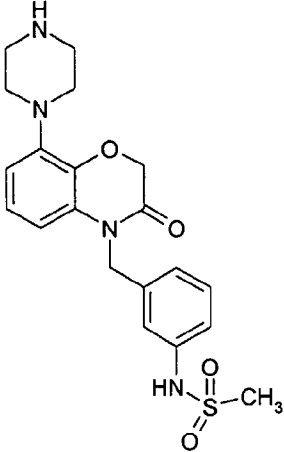
8		4-(2-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	236.0-244.5	1
9		4-(4-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	240.0-242.4	1
10		4-(4-chloro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	250.9-253.8	1
11		4-(4-fluoro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	>300	1

12		4-(2-fluoro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	282.0-282.9	1
13		4-(2-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	>300	1
14		4-(4-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	271.7-272.4	1
15		6-fluoro-4-naphthalen-2-ylmethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	255.8-256.1	1

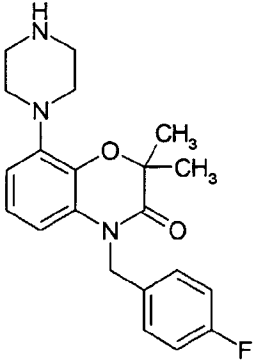
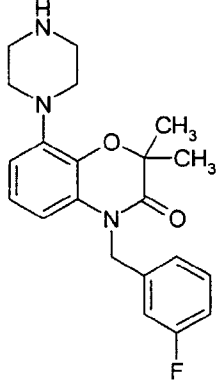
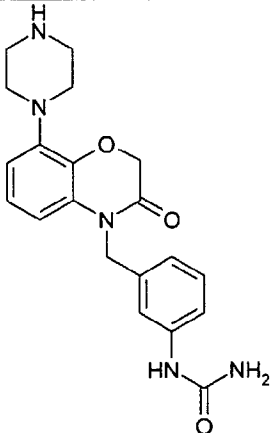
16		4-(3-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	260.2-263.1	1
17		3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-benzonitrile	285.9-287.0	1
18		4-(3-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	231.7-236.5	1
19		4-Benzyl-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	352	3

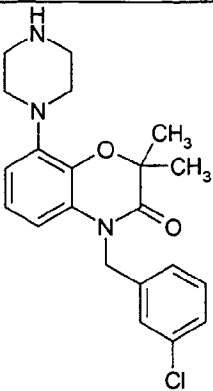
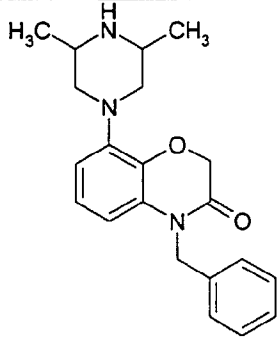
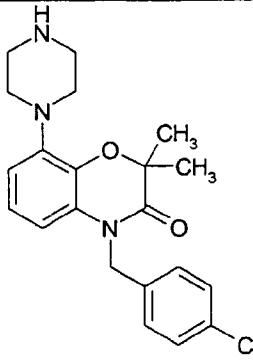
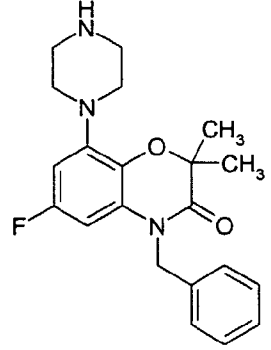
20		(R)-4-Benzyl-2-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	338	3
21		4-Benzyl-6-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	324	1
22		4-(4-Fluoro-benzyl)-6-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	342	1
23		(S)-4-Benzyl-2-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	338	3
24		8-Piperazin-1-yl-4-pyridin-4-ylmethyl-4H-benzo[1,4]oxazin-3-one	325	1

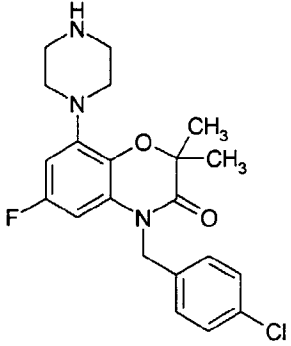
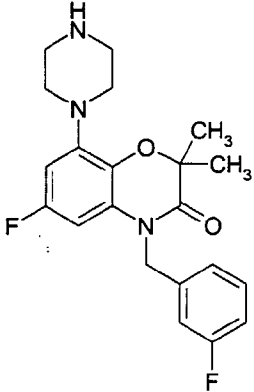
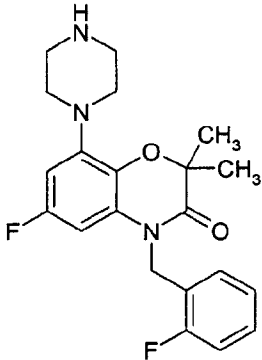
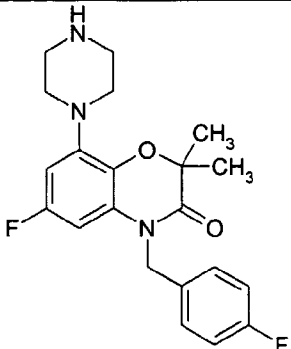
25		4-Benzyl-6-methyl-8-(4-methyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one	352	2
26		4-Benzyl-8-(4-methyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one	338	2
27		4-(1-Phenyl-ethyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	338	1
28		4-(3-Methoxy-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	354	1

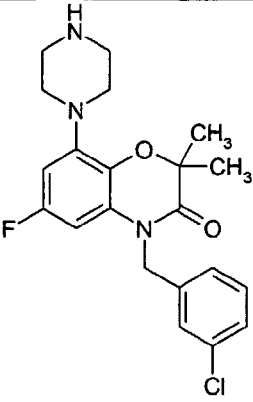
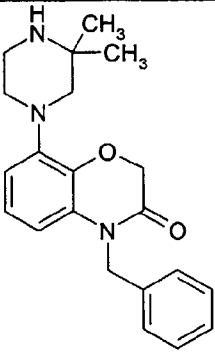
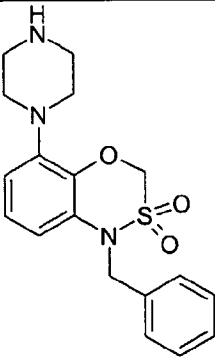
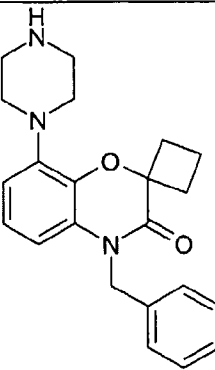
29		4-(3-Nitro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	369	1
30		4-(3-Amino-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	339	1
31		4-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-benzonitrile	349	1
32		N-[3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-phenyl]-methanesulfonamide	417	1



33		4-(4-Fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	370	3
34		4-(3-Fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	370	3
35		[3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-phenyl]-urea	382	1

36		4-(3-Chloro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	386	3
37		4-Benzyl-8-(3,5-dimethyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one	352	1
38		4-(4-Chloro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	386	3
39		4-Benzyl-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	370	3

40		4-(4-Chloro-benzyl)-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	405	3
41		6-Fluoro-4-(3-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	389	3
42		6-Fluoro-4-(2-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	389	3
43		6-Fluoro-4-(4-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	389	3

44		4-(3-Chloro-benzyl)-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	405	3
45		4-Benzyl-8-(3,3-dimethyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one	352	1
46		1-Benzyl-5-piperazin-1-yl-1H-benzo[1,3,4]oxathiazine 2,2-dioxide	360	4
47		4-Benzyl-2,2-spiro-cyclobutan-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt	363	3

Another aspect of the invention provides a composition comprising a therapeutically effective amount of at least one compound of formula I and a pharmaceutically acceptable carrier.

Yet another aspect of the invention provides a method for treating a central nervous system (CNS) disease state in a subject comprising administering to the subject a therapeutically effective amount of a compound of formula I. The disease state may comprise, for example, psychoses, schizophrenia, manic depressions, neurological disorders, memory disorders, attention deficit disorder, Parkinson's disease, amyotrophic lateral sclerosis, Alzheimer's disease or Huntington's disease.

Still another aspect of the present invention provides a method for treating a disorder of the gastrointestinal tract in a subject comprising administering to the subject a therapeutically effective amount of a Compound of Formula I.

Another aspect of the present invention provides a method for producing a compound of formula I.

Compounds of the present invention can be made by a variety of methods depicted in the illustrative synthetic reaction schemes shown and described below.

The starting materials and reagents used in preparing these compounds generally are either available from commercial suppliers, such as Aldrich Chemical Co., or are prepared by methods known to those skilled in the art following procedures set forth in references such as *Fieser and Fieser's Reagents for Organic Synthesis*, Wiley & Sons: New York, 1991, Volumes 1-15; *Rodd's Chemistry of Carbon Compounds*, Elsevier Science Publishers, 1989, Volumes 1-5 and Supplementals; and *Organic Reactions*, Wiley & Sons: New York, 1991, Volumes 1-40.

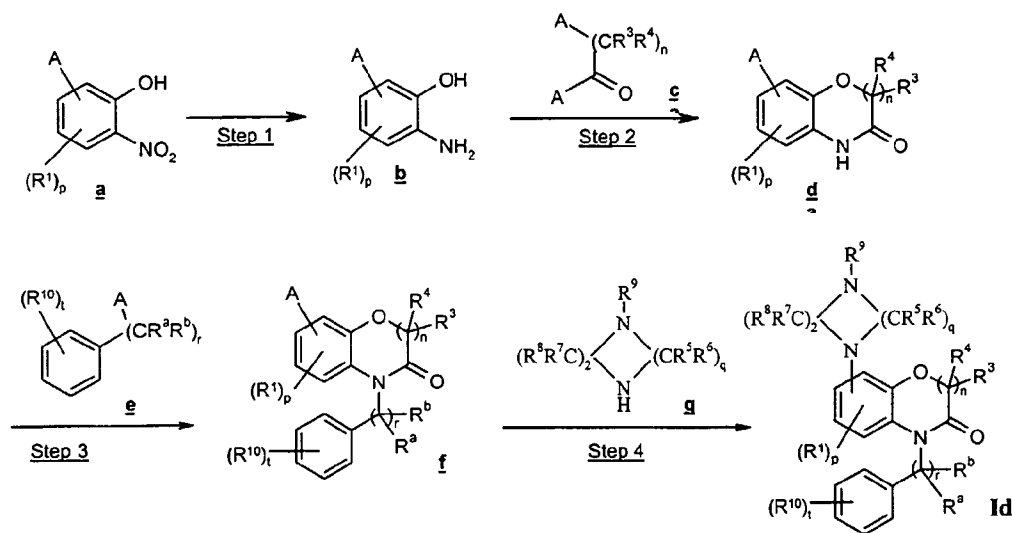
The following synthetic reaction schemes are merely illustrative of some methods by which the compounds of the present invention can be synthesized, and various modifications to these synthetic reaction schemes can be made and will be suggested to one skilled in the art having referred to the disclosure contained in this Application.

The starting materials and the intermediates of the synthetic reaction schemes can be isolated and purified if desired using conventional techniques, including but not limited to, filtration, distillation, crystallization, chromatography, and the like. Such materials can be characterized using conventional means, including physical constants and spectral data.

Unless specified to the contrary, the reactions described herein preferably are conducted under an inert atmosphere at atmospheric pressure at a reaction temperature range of from about -78 °C to about 150 °C, more preferably from about 0 °C to about 125 °C, and most preferably and conveniently at about room (or ambient) temperature,  
 5 e.g., about 20 °C.

Scheme A below illustrates the synthetic procedure usable to prepare specific compounds of Formula I wherein each A independently is halo or other leaving group (such as triflate) and may be the same or different in each occurrence, and  $R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^9, R^{10}, R^a, R^b, n, p, q, r$  and  $t$  are as defined herein.

10



SCHEME A

In Step 1 of Scheme A, an ortho nitrophenol **a** is reduced to the corresponding aniline or aminophenol **b**. This reduction may be carried out under relatively mild,  
 15 aqueous conditions, using sodium dithionite or like mild reducing agent.

A cyclization is then carried out in Step 2 to provide the benzoxazinone compound **d** from the aminophenol **b** generated in Step 1. Where  $n$  is 1, for example, the benzoxazinone **d** is a 2*H*-1,4-benzoxazin-3(4*H*)-one, and where  $n$  is 2 the compound **d** is a 2,3-dihydro-1,5-benzoxazepin-4(5*H*)-one. The cyclization may be achieved by reaction  
 20 of the aminophenol **b** with a 2-halo acid halide **c** such as chloroacetyl chloride (to provide  $n = 1$  and  $R^3, R^4$  as hydrogen), 2-chloropropionyl chloride (which provides  $n = 1, R^3$  as methyl and  $R^4$  as hydrogen), 3-chloropropionyl chloride (providing  $n = 2$  and  $R^3,$

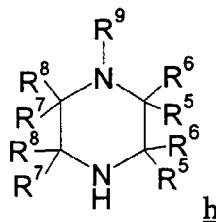
R<sup>4</sup> as hydrogen), 2-chloroisobutyryl chloride (providing n = 1, R<sup>3</sup> as isopropyl and R<sup>4</sup> as hydrogen), 2-chloro-2-methylpropionyl chloride (providing n = 1 and R<sup>3</sup> and R<sup>4</sup> as methyl), and so on. Formation of benzoxazinones in this manner can be achieved under relatively mild polar conditions in the presence of a mild base, as described by Combs et al.; J. Med. Chem.; 33; 380-386 1990. The cyclization may also be achieved by reacting b with a 2-hydroxyester under Mitsunobu reaction conditions, as described by Van Hes et al in WO 01/14330.

In Step 3, an N-alkylation of the benzoxazinone compound d is carried out by treatment of compound d from Step 2 with a strong base under dry, polar aprotic conditions and reaction with an  $\alpha$ -haloalkyl aryl compound e to provide the N-arylalkyl-benzoxazinone compound f. The haloalkyl aryl compound e may comprise, for example, benzyl halide (to provide r = 1 and R<sup>a</sup> and R<sup>b</sup> as hydrogen), 3-halo-3-phenylpropane (providing r = 2 and R<sup>a</sup>, R<sup>b</sup> as hydrogen),  $\alpha$ -methylbenzyl halide (providing r = 1, R<sup>a</sup> as hydrogen and R<sup>b</sup> as methyl), or other  $\alpha$ -haloalkylphenyl halides according to the desired R<sup>a</sup> and R<sup>b</sup> substituent configuration.

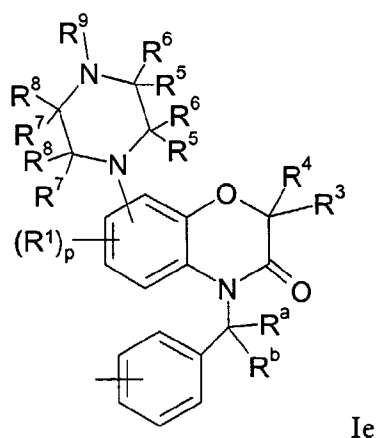
The alkylation of Step 3 may also be carried out using  $\alpha$ -haloalkyl naphthyl compounds,  $\alpha$ -haloalkylbiphenyl compounds or other  $\alpha$ -haloalkylaryl compounds. In other embodiments Step 3 may be carried out using  $\alpha$ -haloalkyl heteroaryl compounds such as  $\alpha$ -haloalkylpyridines,  $\alpha$ -haloalkylthiophenes,  $\alpha$ -haloalkylmethylenedioxyphenyl compounds,  $\alpha$ -haloalkylethylenedioxyphenyl compounds, and the like. In the case of  $\alpha$ -haloalkyl heteroaryl compounds, suitable protection group strategies may be employed to avoid unwanted heteroatom alkylation during this step. In certain embodiments, the alkylation of Step 3 may be replaced by an aryl- or heteroaryl- sulfonylation wherein a suitable arylsulfonyl halide or heteroarylsulfonyl halide is reacted with the ring nitrogen of the benzoxazinone compound d.

An amination reaction is then carried out in Step 4 wherein the N-arylalkyl-benzoxazinone compound e is reacted with a nitrogen-containing heterocycle f in the presence of a palladium catalyst to replace the leaving group A- with a heterocyclyl group and provide the heterocyclyl-N-arylalkyl-benzoxazinone compound le. In many embodiments q is 1 such that the heterocycle compound f is a piperazine compound of the formula h:

- 39 -



and such that the heterocyclyl-N-arylalkyl-benzoxazinone compound of formula Id is of the formula Ie:



5 which is discussed above. Several alkyl-substituted piperazine compounds are commercially available or easily prepared according to known procedures and may be used in this step. The amination of Step 4 may be effected at both the 8- and 6- positions under similar reaction conditions

10 In instances where R<sup>9</sup> is hydrogen, BOC protection or other suitable protection strategies may be used to protect the corresponding ring nitrogen of compound f. Where a BOC protection group is present, deprotection may be carried out in this step by treatment of the heterocyclyl-N-arylalkyl-benzoxazinone compound Id with mild acid solution.

15 Many variations on the above procedure may suggest themselves to those skilled in the art upon review of this disclosure. In some instances, amination may be carried out prior to N-alkylation at the 1-position. The number, functionality and/or location of the R<sup>1</sup> substituent groups may be selected to activate particular positions (i.e., any of positions 5 through 8) of the benzoxazinone ring and thus facilitate amination at selected positions as desired for specific embodiments of the subject compounds.

20 More specific details for producing compounds of formula I are described in the examples section below.



The compounds of the invention have selective affinity for 5-HT receptors, including 5-HT<sub>6</sub>, and as such are expected to be useful in the treatment of certain CNS disorders such as Parkinson's disease, Huntington's disease, anxiety, depression, manic depression, psychosis, epilepsy, obsessive compulsive disorders, mood disorders, 5 migraine, Alzheimer's disease (enhancement of cognitive memory), sleep disorders, feeding disorders such as anorexia, bulimia, and obesity, panic attacks, akathisia, attention deficit hyperactivity disorder (ADHD), attention deficit disorder (ADD), withdrawal from drug abuse such as cocaine, ethanol, nicotine and benzodiazepines, schizophrenia, and also disorders associated with spinal trauma and/or head injury such 10 as hydrocephalus. Such compounds are also expected to be of use in the treatment of certain GI (gastrointestinal) disorders such functional bowel disorder and irritable bowel syndrome.

The pharmacology of the compounds of this invention was determined by art recognized procedures. The in vitro techniques for determining the affinities of test 15 compounds at the 5-HT<sub>6</sub> receptor in radioligand binding and functional assays are described in Example 4.

The present invention includes pharmaceutical compositions comprising at least one compound of the present invention, or an individual isomer, racemic or non-racemic mixture of isomers or a pharmaceutically acceptable salt or solvate thereof, 20 together with at least one pharmaceutically acceptable carrier, and optionally other therapeutic and/or prophylactic ingredients.

In general, the compounds of the present invention will be administered in a therapeutically effective amount by any of the accepted modes of administration for agents that serve similar utilities. Suitable dosage ranges are typically 1-500 mg daily, 25 preferably 1-100 mg daily, and most preferably 1-30 mg daily, depending upon numerous factors such as the severity of the disease to be treated, the age and relative health of the subject, the potency of the compound used, the route and form of administration, the indication towards which the administration is directed, and the preferences and experience of the medical practitioner involved. One of ordinary skill in 30 the art of treating such diseases will be able, without undue experimentation and in reliance upon personal knowledge and the disclosure of this Application, to ascertain a therapeutically effective amount of the compounds of the present invention for a given disease.

In general, compounds of the present invention will be administered as pharmaceutical formulations including those suitable for oral (including buccal and sub-lingual), rectal, nasal, topical, pulmonary, vaginal, or parenteral (including intramuscular, intraarterial, intrathecal, subcutaneous and intravenous) administration or in a form suitable for administration by inhalation or insufflation. The preferred manner of administration is generally oral using a convenient daily dosage regimen which can be adjusted according to the degree of affliction.

A compound or compounds of the present invention, together with one or more conventional adjuvants, carriers, or diluents, may be placed into the form of pharmaceutical compositions and unit dosages. The pharmaceutical compositions and unit dosage forms may be comprised of conventional ingredients in conventional proportions, with or without additional active compounds or principles, and the unit dosage forms may contain any suitable effective amount of the active ingredient commensurate with the intended daily dosage range to be employed. The pharmaceutical compositions may be employed as solids, such as tablets or filled capsules, semisolids, powders, sustained release formulations, or liquids such as solutions, suspensions, emulsions, elixirs, or filled capsules for oral use; or in the form of suppositories for rectal or vaginal administration; or in the form of sterile injectable solutions for parenteral use. Formulations containing about one (1) milligram of active ingredient or, more broadly, about 0.01 to about one hundred (100) milligrams, per tablet, are accordingly suitable representative unit dosage forms.

The compounds of the present invention may be formulated in a wide variety of oral administration dosage forms. The pharmaceutical compositions and dosage forms may comprise a compound or compounds of the present invention or pharmaceutically acceptable salts thereof as the active component. The pharmaceutically acceptable carriers may be either solid or liquid. Solid form preparations include powders, tablets, pills, capsules, cachets, suppositories, and dispersible granules. A solid carrier may be one or more substances which may also act as diluents, flavouring agents, solubilizers, lubricants, suspending agents, binders, preservatives, tablet disintegrating agents, or an encapsulating material. In powders, the carrier generally is a finely divided solid which is a mixture with the finely divided active component. In tablets, the active component generally is mixed with the carrier having the necessary binding capacity in suitable proportions and compacted in the shape and size desired. The powders and tablets preferably contain from about one (1) to about seventy (70) percent of the active compound. Suitable carriers include but are not limited to magnesium carbonate,

magnesium stearate, talc, sugar, lactose, pectin, dextrin, starch, gelatine, tragacanth, methylcellulose, sodium carboxymethylcellulose, a low melting wax, cocoa butter, and the like. The term "preparation" is intended to include the formulation of the active compound with encapsulating material as carrier, providing a capsule in which the active component, with or without carriers, is surrounded by a carrier, which is in association with it. Similarly, cachets and lozenges are included. Tablets, powders, capsules, pills, cachets, and lozenges may be as solid forms suitable for oral administration.

Other forms suitable for oral administration include liquid form preparations including emulsions, syrups, elixirs, aqueous solutions, aqueous suspensions, or solid form preparations which are intended to be converted shortly before use to liquid form preparations. Emulsions may be prepared in solutions, for example, in aqueous propylene glycol solutions or may contain emulsifying agents, for example, such as lecithin, sorbitan monooleate, or acacia. Aqueous solutions can be prepared by dissolving the active component in water and adding suitable colorants, flavors, stabilizers, and thickening agents. Aqueous suspensions can be prepared by dispersing the finely divided active component in water with viscous material, such as natural or synthetic gums, resins, methylcellulose, sodium carboxymethylcellulose, and other well known suspending agents. Solid form preparations include solutions, suspensions, and emulsions, and may contain, in addition to the active component, colorants, flavors, stabilizers, buffers, artificial and natural sweeteners, dispersants, thickeners, solubilizing agents, and the like.

The compounds of the present invention may be formulated for parenteral administration (e.g., by injection, for example bolus injection or continuous infusion) and may be presented in unit dose form in ampoules, pre-filled syringes, small volume infusion or in multi-dose containers with an added preservative. The compositions may take such forms as suspensions, solutions, or emulsions in oily or aqueous vehicles, for example solutions in aqueous polyethylene glycol. Examples of oily or nonaqueous carriers, diluents, solvents or vehicles include propylene glycol, polyethylene glycol, vegetable oils (e.g., olive oil), and injectable organic esters (e.g., ethyl oleate), and may contain formulatory agents such as preserving, wetting, emulsifying or suspending, stabilizing and/or dispersing agents. Alternatively, the active ingredient may be in powder form, obtained by aseptic isolation of sterile solid or by lyophilization from solution for constitution before use with a suitable vehicle, e.g., sterile, pyrogen-free water.

The compounds of the present invention may be formulated for topical administration to the epidermis as ointments, creams or lotions, or as a transdermal

patch. Ointments and creams may, for example, be formulated with an aqueous or oily base with the addition of suitable thickening and/or gelling agents. Lotions may be formulated with an aqueous or oily base and will in general also contain one or more emulsifying agents, stabilizing agents, dispersing agents, suspending agents, thickening agents, or coloring agents. Formulations suitable for topical administration in the mouth include lozenges comprising active agents in a flavored base, usually sucrose and acacia or tragacanth; pastilles comprising the active ingredient in an inert base such as gelatine and glycerine or sucrose and acacia; and mouthwashes comprising the active ingredient in a suitable liquid carrier.

10       The compounds of the present invention may be formulated for administration as suppositories. A low melting wax, such as a mixture of fatty acid glycerides or cocoa butter is first melted and the active component is dispersed homogeneously, for example, by stirring. The molten homogeneous mixture is then poured into convenient sized molds, allowed to cool, and to solidify.

15       The compounds of the present invention may be formulated for vaginal administration. Pessaries, tampons, creams, gels, pastes, foams or sprays containing in addition to the active ingredient such carriers as are known in the art to be appropriate.

20       The compounds of the present invention may be formulated for nasal administration. The solutions or suspensions are applied directly to the nasal cavity by conventional means, for example, with a dropper, pipette or spray. The formulations may be provided in a single or multidose form. In the latter case of a dropper or pipette, this may be achieved by the patient administering an appropriate, predetermined volume of the solution or suspension. In the case of a spray, this may be achieved for example by means of a metering atomizing spray pump.

25       The compounds of the present invention may be formulated for aerosol administration, particularly to the respiratory tract and including intranasal administration. The compound will generally have a small particle size for example of the order of five (5) microns or less. Such a particle size may be obtained by means known in the art, for example by micronization. The active ingredient is provided in a pressurized pack with a suitable propellant such as a chlorofluorocarbon (CFC), for example, dichlorodifluoromethane, trichlorofluoromethane, or dichlorotetrafluoroethane, or carbon dioxide or other suitable gas. The aerosol may conveniently also contain a surfactant such as lecithin. The dose of drug may be controlled by a metered valve. Alternatively the active ingredients may be provided in a

30

form of a dry powder, for example a powder mix of the compound in a suitable powder base such as lactose, starch, starch derivatives such as hydroxypropylmethyl cellulose and polyvinylpyrrolidone (PVP). The powder carrier will form a gel in the nasal cavity. The powder composition may be presented in unit dose form for example in capsules or  
5 cartridges of e.g., gelatine or blister packs from which the powder may be administered by means of an inhaler.

When desired, formulations can be prepared with enteric coatings adapted for sustained or controlled release administration of the active ingredient. For example, the compounds of the present invention can be formulated in transdermal or subcutaneous  
10 drug delivery devices. These delivery systems are advantageous when sustained release of the compound is necessary and when patient compliance with a treatment regimen is crucial. Compounds in transdermal delivery systems are frequently attached to an skin-adhesive solid support. The compound of interest can also be combined with a penetration enhancer, e.g., Azone (1-dodecylazacycloheptan-2-one). Sustained release  
15 delivery systems are inserted subcutaneously into the subdermal layer by surgery or injection. The subdermal implants encapsulate the compound in a lipid soluble membrane, e.g., silicone rubber, or a biodegradable polymer, e.g., polylactic acid.

The pharmaceutical preparations are preferably in unit dosage forms. In such form, the preparation is subdivided into unit doses containing appropriate quantities of  
20 the active component. The unit dosage form can be a packaged preparation, the package containing discrete quantities of preparation, such as packeted tablets, capsules, and powders in vials or ampoules. Also, the unit dosage form can be a capsule, tablet, cachet, or lozenge itself, or it can be the appropriate number of any of these in packaged form.

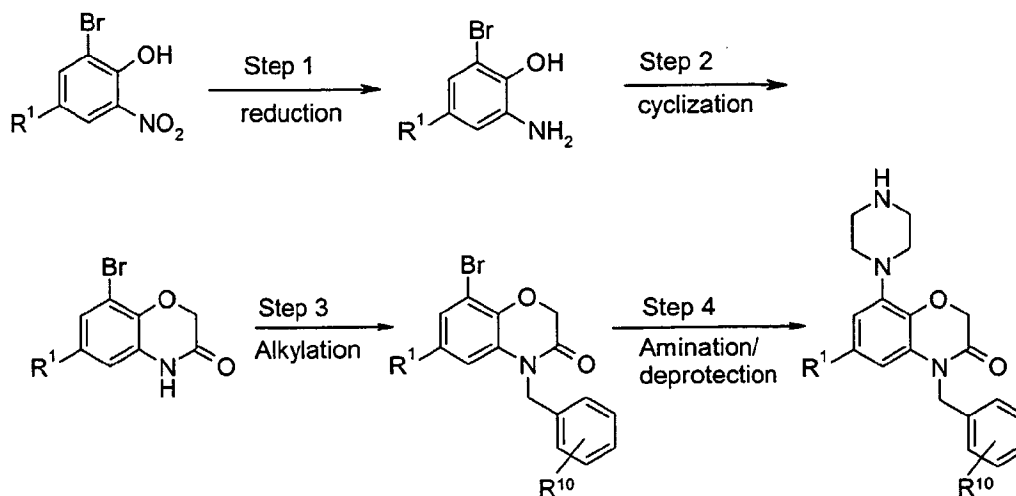
Other suitable pharmaceutical carriers and their formulations are described in  
25 *Remington: The Science and Practice of Pharmacy* 1995, edited by E. W. Martin, Mack Publishing Company, 19th edition, Easton, Pennsylvania. Representative pharmaceutical formulations containing a compound of the present invention are described in Examples 6-12.

### EXAMPLES

30 The following preparations and examples are given to enable those skilled in the art to more clearly understand and to practice the present invention. They should not be considered as limiting the scope of the invention, but merely as being illustrative and representative thereof.

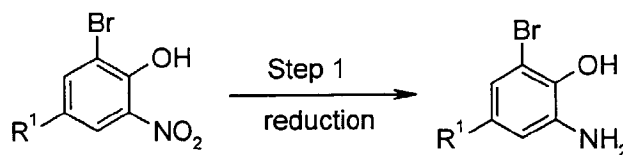
Example 14-benzyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt

The synthetic procedures described in this Example were carried out according to the process shown in Scheme B wherein R<sup>1</sup> and R<sup>10</sup> are as defined herein.



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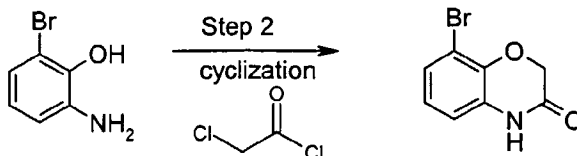
SCHEME B

Step 1:2-amino-6-bromo-4-fluorophenol

- 10 Sodium dithionite (58 g, 335 mmol) was dissolved in warm water (300 mL) and was added slowly to a solution of 6-bromo-4-fluoro-2-nitrophenol (11.8 g, 50 mmol) in 250 mL of ethanol heated on a steam bath. The reaction mixture turned from deep orange to light yellow. The suspension is diluted with water till a clear yellow solution was obtained. Partial concentration on a rotary evaporator induced crystallization. The mixture was then cooled to room temperature and crystals formed. Filtration and drying
- 15 afforded the title compound as a white solid (5.04 g, 49% yield). MS 207 (M+H)<sup>+</sup>

Step 2:8-bromo-6-methoxy-4H-benzo[1,4]oxazin-3-one

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The reaction in this Example was carried out following similar procedures reported in literature. See for example, Combs, Donald W.; Rampulla, Marianne S.; Bell, Stanley C.; Klaubert, Dieter H.; Tobia, Alfonso J.; et al.; J. Med. Chem.; 33; 1990; 380-386.

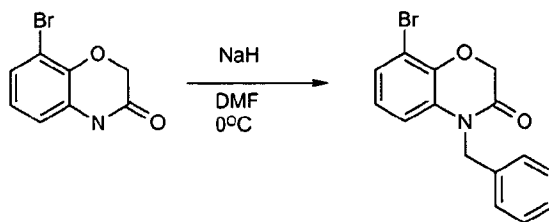
- 5 A saturated solution of NaHCO<sub>3</sub> in water (20 mL) was added to a solution of 2-amino-6-bromo-4-methoxyphenol (9.8 g, 45 mmol) in 300 mL of 2-butanone. Chloroacetyl chloride (6.1 g, 54 mmol) was added dropwise at room temperature, and the mixture was brought to reflux while stirring for 2 hours. After cooling to room temperature, water and ethyl acetate were added and organic layer was separated and
- 10 dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, concentrated to give a light brown solid. Recrystallization from EtOAc gave 2.2 g of the title compound as light red solids. The mother liquor was chromatographed on silica gel using EtOAc/Hex (1:4) to give another 2.6 g of light red solids. MP = 236.1-237.5 °C.

The following compounds were prepared in a similar manner:

- 15 8-bromo-4*H*-benzo[1,4]oxazin-3-one, MP = 243.5-244.9 °C
- 8-bromo-6-fluoro-4*H*-benzo[1,4]oxazin-3-one, MS 247 (M+H)<sup>+</sup>

### Step 3:

#### 4-Benzyl-8-bromo-4*H*-benzo[1,4]oxazin-3-one



- 20 To a solution of 8-bromo-4*H*-benzo [1,4] oxazin-3-one (343mg, 1.5 mmol) in 10ml anhydrous dimethylformamide was added sodium hydride (120 mg of a 60% suspension in mineral oil, 3.0 mmol) portionwise at 0°C. The solution was stirred with a magnetic stirrer at 0°C for 20 minutes, at which time the initial gas evolution ended. Benzyl bromide (0.22 ml, 1.8 mmol) was added in one portion and the reaction mixture

was stirred at 0°C for 30 minutes. The solution was allowed to warm to room temperature and the reaction mixture was partitioned between water (50ml) and ethyl acetate (50 ml). The aqueous layer was extracted with ethyl acetate (2 × 25 ml) and the combined organic fractions were washed with water (2 × 25 ml) and brine (2 × 25 ml).

- 5 After drying over MgSO<sub>4</sub>, the organic fraction was concentrated *in vacuo* and resulting brown residue was purified by flash chromatography (5%-15% Ethyl acetate / Hexane in 30 minutes) to give 402mg of 4-benzyl-8-bromo-4*H*-benzo [1,4] oxazin-3-one as a yellow solid (84%). MS: 318 (M+H)<sup>+</sup>.

- The following compounds were prepared in a similar fashion starting with  
10 appropriate bromobenzo[1,4]oxazinone and various arylalkyl bromides and arylalkyl chlorides, which are either commercially available or known in the literature:

4-Benzyl-8-bromo-6-methyl-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>: 334.0.

4-Benzyl-8-bromo-6-methoxy-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>: 350.0.

- 8-Bromo-4-(2-fluoro-benzyl)-6-methoxy-4*H*-benzo[1,4]oxazin-3-one, MS  
15 (M+H)<sup>+</sup>: 367.9.

8-Bromo-4-(2-chloro-benzyl)-6-methoxy-4*H*-benzo[1,4]oxazin-3-one, <sup>1</sup>H NMR (CDCl<sub>3</sub>, 300 MHz) δ: 3.66 (s, 3H), 4.79 (s, 2H), 5.22 (s, 2H), 6.29 (d, 1H, J = 2.83 Hz), 6.74 (d, 1H, J = 2.83 Hz), 7.00 (m, 1H), 7.20 (m, 2H), 7.42 (m, 1H).

- 8-Bromo-4-(3-chloro-benzyl)-6-methoxy-4*H*-benzo[1,4]oxazin-3-one, MS  
20 (M+H)<sup>+</sup>: 382.9

4-Benzyl-8-bromo-6-fluoro-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>: 335.0

8-Bromo-4-(2-fluoro-benzyl)-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>: 338.1

8-Bromo-4-(4-fluoro-benzyl)-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>: 334.9

8-Bromo-4-(4-chloro-benzyl)-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>: 354.0

- 25 8-Bromo-6-fluoro-4-(4-fluoro-benzyl)-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>: 352.9



8-Bromo-6-fluoro-4-(2-fluoro-benzyl)-4*H*-benzo[1,4]oxazin-3-one, <sup>1</sup>H NMR (CDCl<sub>3</sub>, 300 MHz) δ: 4.79 (s, 2H), 5.18 (s, 2H), 6.63 (dd, 1H, J = 2.64 Hz, 9.42 Hz), 6.96 (dd, 1H, J = 2.83Hz, 7.73 Hz), 7.10 (m, 3H), 7.28 (m, 1H).

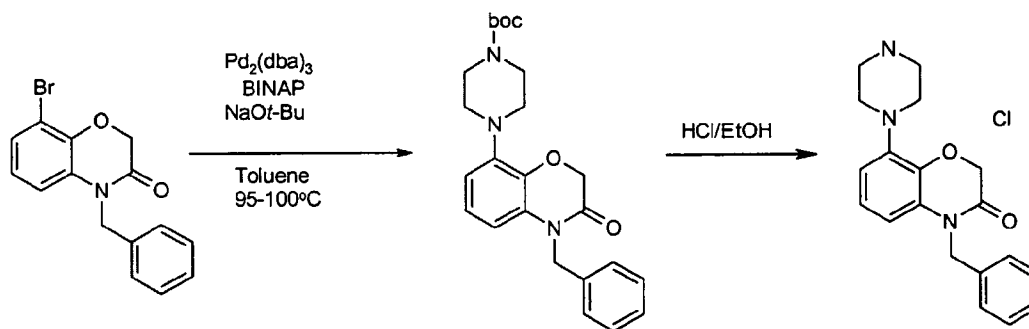
8-Bromo-6-fluoro-4-(2-chloro-benzyl)-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>:  
5 371.0

8-Bromo-6-fluoro-4-(4-chloro-benzyl)-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>:  
370.9

8-Bromo-6-fluoro-4-naphthalen-2-ylmethyl-4*H*-benzo[1,4]oxazin-3-one, MS (M+H)<sup>+</sup>: 384.9

10 Step 4:

4-benzyl-8-piperazin-1-yl-4*H*-benzo[1,4]oxazin-3-one hydrochloride salt



A solution of 4-benzyl-8-bromo-4*H*-benzo [1,4] oxazin-3-one (402mg, 1.26 mmol) and 1-Boc- piperazine (285 mg, 1.53 mmol) in 3mL of toluene was added to the mixture  
15 of Pd<sub>2</sub>(dba)<sub>3</sub> (28mg, 0.03 mmol), BINAP (2,2'-bis(diphenylphosphino)-1,1'-binaphthyl) (41mg, 0.066 mmol), NaOt-Bu (175mg, 1.82mmol). With stirring, the solution was heated at 95°C-100°C for 1hour and was allowed to cool to room temperature. The reaction mixture was filtered through celite and washed with ethyl acetate. The filtrate was washed with water (2 × 15ml) and brine (1 × 15ml). After drying over MgSO<sub>4</sub>, the  
20 organic fraction was concentrated *in vacuo* and resulting brown residue was purified by flash chromatography (10%-40% Ethyl acetate / Hexane in 30 minutes) to give 168 mg of the boc-protected compound as a yellow solid (32%). 4-(4-Benzyl-3-oxo-3, 4-dihydro-2*H*-benzo [1,4] oxazin-8-yl)-piperazine-1-carboxylic acid *tert*-butyl ester (0.168 g, 0.4 mmol) was dissolved in 4 ml ethanol. To this solution was added 2 M ethanolic  
25 hydrochloric acid solution (3 ml.). The mixture was heated at 100°C (steam bath) for 20

minutes, at which time crystalline solids formed. The solution was allowed to cool to room temperature and 0.115 g. of 4-benzyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt is collected as a light yellow powder after filtering and drying in a vacuum oven. MS: 324 (M+H)<sup>+</sup>, mp = 235.9-236.2°C.

- 5        The following compounds were prepared in a similar fashion starting with appropriate substituted bromobenzo[1,4]oxazinones:

4-benzyl-6-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt, MS: (M+H)<sup>+</sup> 338, mp = 256.8-263.9°C.

- 10       4-benzyl-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt, MS: (M+H)<sup>+</sup> 354.

4-(2-fluoro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt, MS: (M+H)<sup>+</sup> 372, mp = 268.1-271.0°C.

4-(2-chloro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt, MS: (M+H)<sup>+</sup> 388, mp = 286.9-288.9°C.

- 15       4-(3-chloro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt, MS: (M+H)<sup>+</sup> 388, mp = 55.2-58.8°C.

4-benzyl-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt, MS: (M+H)<sup>+</sup> 342, mp = 268.2-268.3°C.

- 20       4-(2-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt, MS: (M+H)<sup>+</sup> 342, mp = 236.0-244.5°C.

4-(3-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt,

mp = 231.7-236.5°C

- 25       4-(4-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt, MS: (M+H)<sup>+</sup> 342, mp = 240.0-242.4°C.

4-(4-chloro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one hydrochloride salt, MS: (M+H)<sup>+</sup> 358, mp = 250.9-253.8°C.

4-(4-fluoro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one  
hydrochloride salt, MS: (M+H)<sup>+</sup> 360, mp = >300°C.

4-(2-fluoro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one  
hydrochloride salt, MS: (M+H)<sup>+</sup> 360, mp = 282.0-282.9°C.

5 4-(2-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one  
hydrochloride salt, MS: (M+H)<sup>+</sup> 376, mp = >300°C.

4-(4-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one  
hydrochloride salt, MS: (M+H)<sup>+</sup> 376, mp = 271.7-272.4°C.

10 6-fluoro-4-naphthalen-2-ylmethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one  
hydrochloride salt, MS: (M+H)<sup>+</sup> 392, mp = 255.8-256.1°C.

4-(3-Methoxy-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one,  
hydrochloride salt. MS: (M+H)<sup>+</sup> 354.

4-(3-Nitro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride  
salt. MS: (M+H)<sup>+</sup> 369.

15 4-(3-Amino-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride  
salt. MS: (M+H)<sup>+</sup> 339.

3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-  
benzonitrile, hydrochloride salt. MS: (M+H)<sup>+</sup> 349.

20 4-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-  
benzonitrile, hydrochloride salt. MS: (M+H)<sup>+</sup> 349.

N-[3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-  
phenyl]-methanesulfonamide, hydrochloride salt. MS: (M+H)<sup>+</sup> 417.

[3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-phenyl]-  
urea, hydrochloride salt. MS: (M+H)<sup>+</sup> 382.

25 4-(3-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one,  
hydrochloride salt. mp = 260.2-263.1°C.

Using the above procedure, but with 4-bromomethyl pyridine in step 3 instead of benzyl bromide, 8-Piperazin-1-yl-4-pyridin-4-ylmethyl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt was prepared. MS: (M+H)<sup>+</sup> 325.

Using the above procedure, but with 1-bromoethyl benzene in step 3 instead of benzyl bromide, 4-(1-Phenyl-ethyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt was prepared. MS: (M+H)<sup>+</sup> 338.

Using the above procedure, but in step 4 replacing the boc-protected piperazine with boc protected 3,5-dimethyl-piperazine afforded 4-Benzyl-8-(3,5-dimethyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H)<sup>+</sup> 352.

Similarly, using boc-protected 3,3-dimethyl-piperazine in step 4 provided 4-Benzyl-8-(3,3-dimethyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H)<sup>+</sup> 352.

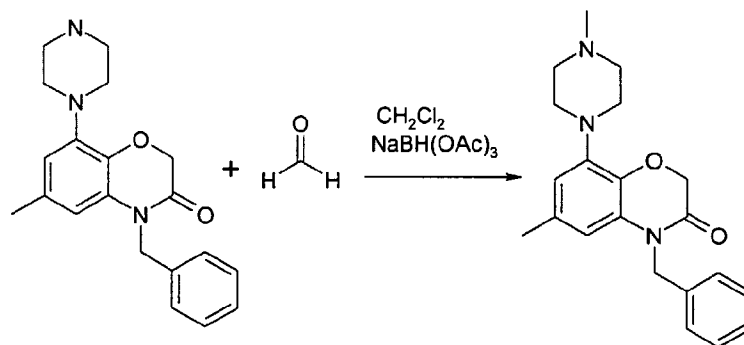
Similarly, but using 2-amino-6-bromo-4-methoxyphenol in step 2 to provide 6-bromo-4H-benzo[1,4]oxazin-3-one in step 3, the following compounds were prepared:

4-Benzyl-6-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H)<sup>+</sup> 324.

4-(4-Fluoro-benzyl)-6-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt, MS: (M+H)<sup>+</sup> 342.

### Example 2

4-Benzyl-6-methyl-8-(4-methyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one



To a solution of 4-Benzyl-6-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one (140mg, 0.42mmol) in CH<sub>2</sub>Cl<sub>2</sub> (5ml) from Example 1 was added formaldehyde (37 wt % solution in water, 50μl, 0.67mmol) and NaBH(OAc)<sub>3</sub>. The solution was stirred with a

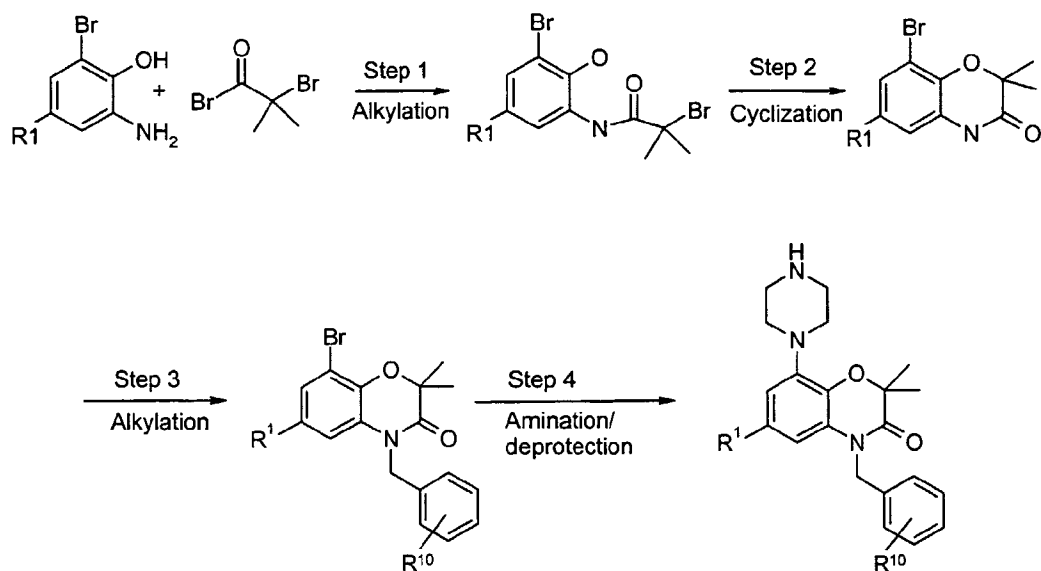
magnetic stirrer at room temperature for 2 hours, and then partitioned between  $\text{CH}_2\text{Cl}_2$  (20ml) and saturated  $\text{NaHCO}_3$  solution (20ml). The aqueous layer was extracted with  $\text{CH}_2\text{Cl}_2$  ( $2 \times 20$  ml). After drying over  $\text{MgSO}_4$ , the organic fraction was concentrated *in vacuo* to give 4-Benzyl-6-methyl-8-(4-methyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one as yellow solid. (140mg, 95%) MS:  $(\text{M}+\text{H})^+$  352.

Similarly prepared from 4-benzyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one was 4-benzyl-8-(4-methyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one: MS:  $(\text{M}+\text{H})^+$  338.

### Example 3

#### 4-Benzyl-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one

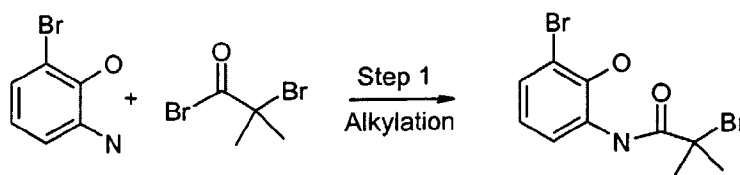
The synthetic procedures described in this Example were carried out according to the process shown in Scheme C wherein  $\text{R}^1$  and  $\text{R}^{10}$  are as defined herein.



SCHEME C

#### Step 1

##### 2-Bromo-N-(3-bromo-2-hydroxy-phenyl)-2-methyl-propionamide

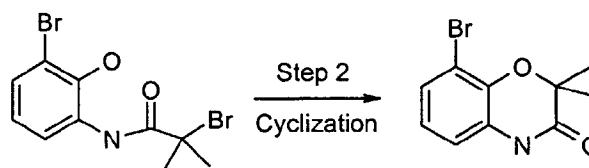


Pyridine (1.8ml, 22.3mmol) was added to a solution of 2-amino-6-bromo-phenol (4.198g, 22.3mmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (200ml). The mixture was cooled in ice and then a solution of 2-bromo-2-methyl-propionylbromide (2.8ml, 22.6mmol) was added slowly.

- 5 The mixture was stirred at the room temperature for an hour and was poured into CH<sub>2</sub>Cl<sub>2</sub> and water. The organic layer was washed with water, dried and concentrated in vacuo to yield crude 2-bromo-N-(3-bromo-2-hydroxy-phenyl)-2-methyl-propionamide, which was used directly in step 2.

### Step 2

#### 10 8-Bromo-2,2-dimethyl-4H-benzo[1,4]oxazin-3-one



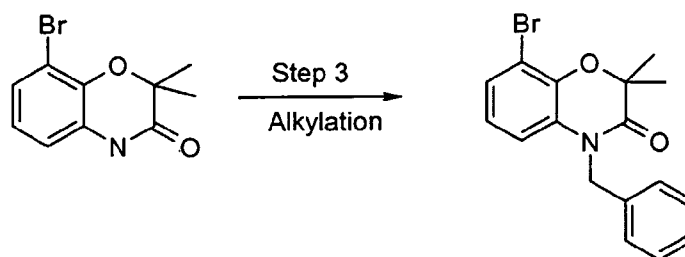
- The 2-bromo-N-(3-bromo-2-hydroxy-phenyl)-2-methyl-propionamide of step 1 was dissolved in DMF (200 ml), and the DMF solution was added to K<sub>2</sub>CO<sub>3</sub> (6.3g, 45.58mmol). The mixture was heated overnight at 150°C, then cooled and poured into a mixture of water/ethyl acetate. The organic fraction was washed with brine. After drying over MgSO<sub>4</sub>, the organic fraction was concentrated *in vacuo* and resulting brown residue was purified by flash chromatography to give 8-bromo-2,2-dimethyl-4H-benzo[1,4]oxazin-3-one as a white solid (84.6%). MS: (M-H)<sup>-</sup> 256.
- 15

- Similarly prepared was 8-bromo-6-fluoro-2,2-dimethyl-4H-benzo[1,4]oxazin-3-one: MS: (M-H)<sup>-</sup> 272.
- 20

### Step 3

#### 4-Benzyl-8-bromo-2,2-dimethyl-4H-benzo[1,4]oxazin-3-one

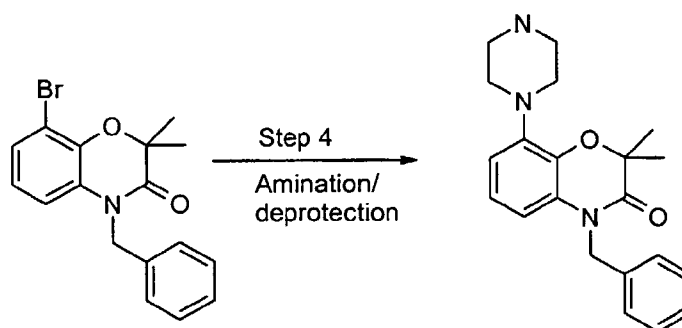
- 54 -



The N-benylation of 8-bromo-2,2-dimethyl-4H-benzo[1,4]oxazin-3-one in this step was carried out using the procedure of Step 3 of Example 1 as described above, to afford 4-benzyl-8-bromo-2,2-dimethyl-4H-benzo[1,4]oxazin-3-one. MS: (M+H) 347.

5      Step 4

4-Benzyl-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one



The amination and subsequent deprotection of 4-benzyl-8-bromo-2,2-dimethyl-4H-benzo[1,4]oxazin-3-one in this step was carried out using the procedure of step 4 of Example 1 as described above to yield 4-Benzyl-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt.

Using the procedure of Example 3 using the appropriate substituted benzyl bromides, the following compounds were also prepared:

15      4-(4-Fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 370.

4-(3-Fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-on, hydrochloride salt. MS: (M+H) 370.

4-(3-Chloro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 386:

4-(4-Chloro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 386.

5 4-Benzyl-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 370.

4-(4-Chloro-benzyl)-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 405.

10 6-Fluoro-4-(3-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 389.

6-Fluoro-4-(2-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 389.

6-Fluoro-4-(4-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 389.

15 4-(3-Chloro-benzyl)-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 405.

Using the above procedure, but replacing 2-bromo-2-methyl-propionylbromide in step 1 with (R)- and (S)- 2-bromo-propionylbromide, yielded the following compounds:

20 (R)-4-Benzyl-2-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 338: and

(S)-4-Benzyl-2-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt. MS: (M+H) 338.

Similarly, but replacing 2-bromo-2-methyl-propionylbromide in step 1 with (1-bromo-cyclobutyl)-acetyl bromide, 4-Benzyl-2,2-spiro-cyclobutan-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one, hydrochloride salt was prepared. MS: (M+H) 363.

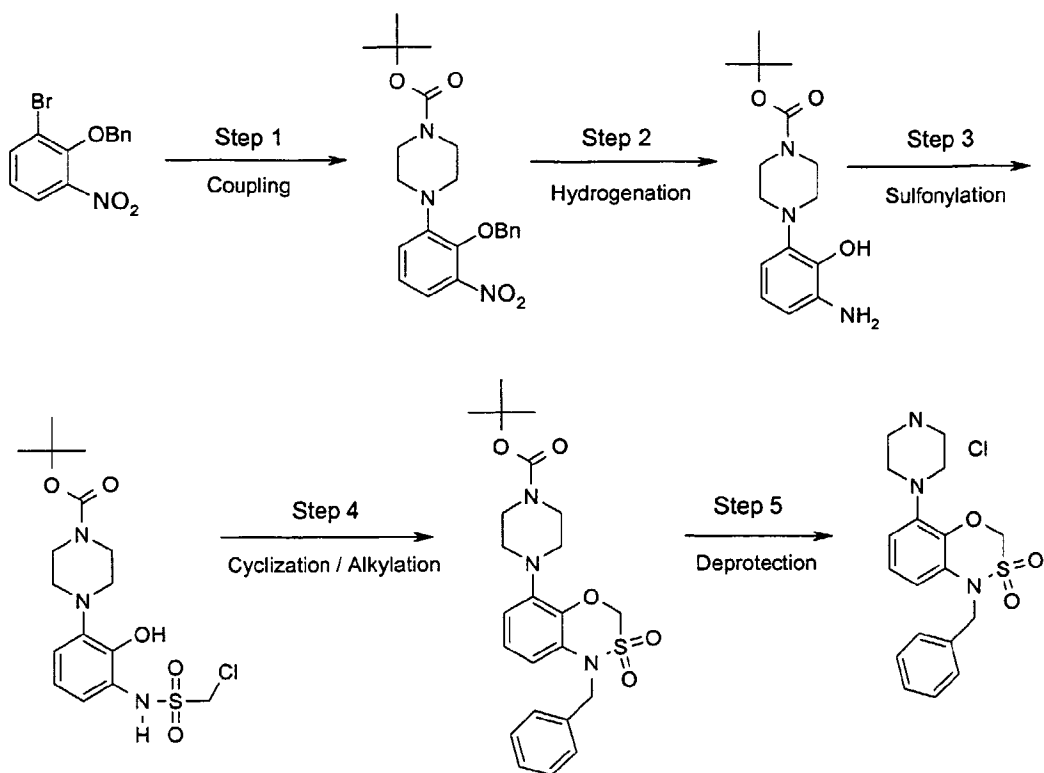
25

#### Example 4

1-Benzyl-5-piperazin-1-yl-1H-benzo[1,3,4]oxathiazine 2,2-dioxide



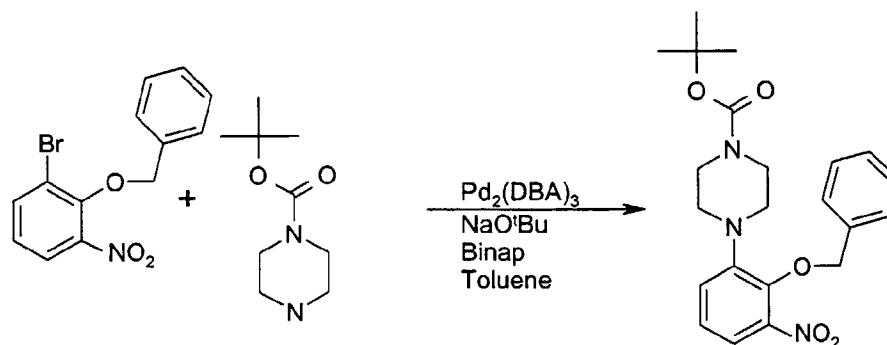
The synthetic procedures described in this Example were carried out according to the process shown in Scheme D.



SCHEME D

### 5 Step 1

#### 4-(2-benzyloxy-3-nitro-phenyl)-piperazine-1-carboxylic acid tert-butyl ester

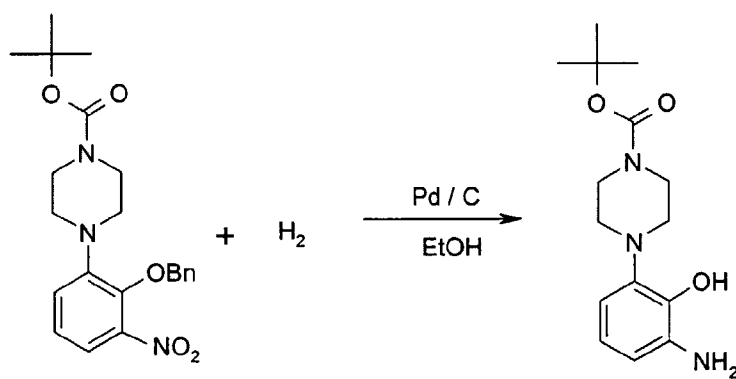


To a dry roundbottom flask was added 2-benzyloxy-1-bromo-3-nitro-benzene (9.24 g, 30 mmol), piperazine-1-carboxylic acid tert-butyl ester (6.15 g, 33 mmol),  
 10 tris(dibenzylideneacetone)dipalladium(0) (1.09 g, 1.2 mmol), and rac-2,2'-bis(diphenylphosphino)-1,1'-binaphthyl (1.86 g, 3 mmol). The flask was purged with

nitrogen, charged with toluene (60 mL) and warmed to 90°C for 2.5 hours. The reaction mixture was filtered through celite, and the celite was washed with 100 mL ethyl acetate. The filtrate was concentrated *in vacuo* and the resulting residue was purified by flash chromatography (30% to 50% ethyl acetate in hexanes) to provide 870 mg. of 4-(2-benzyloxy-3-nitro-phenyl)-piperazine-1-carboxylic acid tert-butyl ester as a red oil (9%).  
(M+H)<sup>+</sup> = 324.

### Step 2

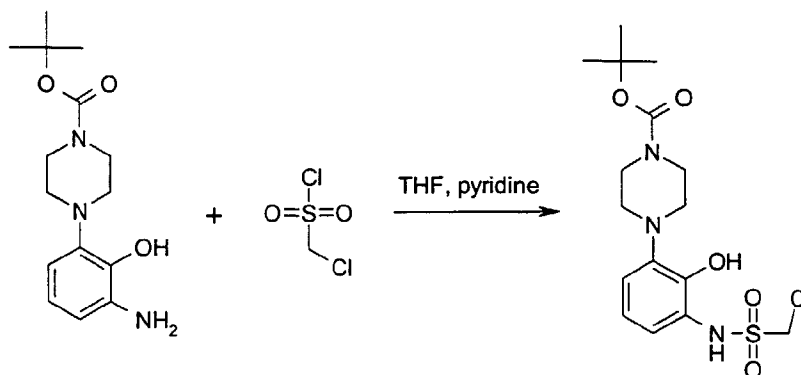
#### 4-(3-amino-2-hydroxy-phenyl)-piperazine-1-carboxylic acid tert-butyl ester



To a flask containing 10 mg. of platinum dispersed on charcoal (5%) was added 4-(2-benzyloxy-3-nitro-phenyl)-piperazine-1-carboxylic acid tert-butyl ester (680 mg., 1.65 mmol) and ethanol (5 mL). The system was purged with hydrogen by alternating application of vacuum and hydrogen gas. The resulting suspension was stirred at room temperature for 2 hours and then filtered through celite. The celite was rinsed with 45 mL ethyl acetate, and the organic solutions were combined and concentrated *in vacuo* to give 280 mg. of 4-(3-amino-2-hydroxy-phenyl)-piperazine-1-carboxylic acid tert-butyl ester as a brown foam (58%). (M+H)<sup>+</sup> = 294.

### Step 3

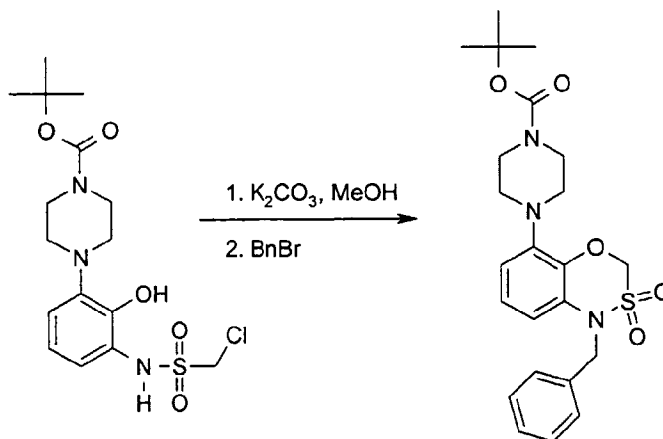
4-(3-Chloromethanesulfonylamino-2-hydroxy-phenyl)-piperazine-1-carboxylic acid tert-butyl ester



In a dry roundbottom flask, 4-(3-amino-2-hydroxy-phenyl)-piperazine-1-carboxylic acid tert-butyl ester (293 mg., 1 mmol) was dissolved in dry THF (3 mL) under nitrogen. While stirring, chloro-methanesulfonyl chloride (149 mg., 1 mmol) was added dropwise over 10 minutes and the solution was stirred 30 minutes. Pyridine (0.121 mL., 1.5 mmol) was then added dropwise over 5 minutes and the solution was stirred for 18 hours. The reaction mixture was diluted with 40 mL Et<sub>2</sub>O and washed with 40 mL of 10% aqueous HCl, 50 mL water, and 50 mL brine. The organic fraction was dried over MgSO<sub>4</sub> and concentrated *in vacuo*. The residue is purified by flash chromatography (20% to 40% EtOAc in hexanes) to give 190 mg of 4-(3-Chloromethanesulfonylamino-2-hydroxy-phenyl)-piperazine-1-carboxylic acid tert-butyl ester as an amber oil (45%). (M-H)<sup>-</sup> = 404.

#### Step 4

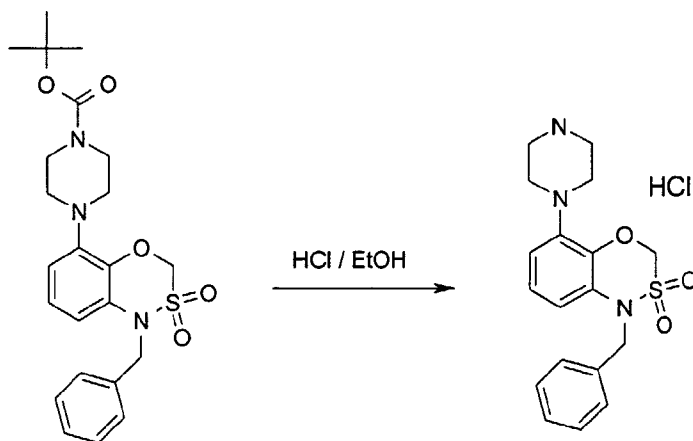
4-(1-Benzyl-2,2-dioxo-2,3-dihydro-1H-benzo[4,2,1]oxathiazin-5-yl)-piperazine-1-carboxylic acid tert-butyl ester



To a flask containing 7 mL methanol was added 4-(3-chloromethanesulfonylamino-2-hydroxy-phenyl)-piperazine-1-carboxylic acid tert-butyl ester (190 mg., 0.471 mmol) and potassium carbonate (195 mg, 1.4 mmol). The suspension was refluxed for two hours under nitrogen and allowed to cool to room temperature. To the reaction mixture was added benzyl bromide (0.083 mL, 0.7 mmol) and potassium carbonate (87 mg., 0.7 mmol), and the reaction was stirred at room temperature for 4 hours. The reaction mixture was concentrated *in vacuo* and the resulting crude solid was dissolved in ethyl acetate. The solution was washed with 50 mL water and 50 mL brine, and the ethyl acetate was removed *in vacuo*. The resulting residue was purified by flash chromatography to give 57 mg of 4-(1-Benzyl-2,2-dioxo-2,3-dihydro-1H-benzo[4,2,1]oxathiazin-5-yl)-piperazine-1-carboxylic acid tert-butyl ester as a light purple oil (26%).  $(M+H)^+ = 460$

### Step 5

#### 1-Benzyl-5-piperazin-1-yl-1H-benzo[4,2,1]oxathiazine 2,2-dioxide hydrochloride



15

4-(1-Benzyl-2,2-dioxo-2,3-dihydro-1H-benzo[4,2,1]oxathiazin-5-yl)-piperazine-1-carboxylic acid tert-butyl ester was dissolved in 1 mL methanol and 1 mL of 2N ethanolic HCl was added. The solution is heated at 100°C for 30 minutes, at which time was added approximately. 2 mL Et<sub>2</sub>O. On cooling to room temperature, 30 mg of 1-benzyl-5-piperazin-1-yl-1H-benzo[4,2,1]oxathiazine 2,2-dioxide hydrochloride precipitated as a white solid (61%).  $(M+H)^+ = 360$ .

20

### Example 5

#### Formulations

Pharmaceutical preparations for delivery by various routes are formulated as shown in the following Tables. "Active ingredient" or "Active compound" as used in the Tables means one or more of the Compounds of Formula I.

Composition for Oral Administration

Ingredient	% wt./wt.
Active ingredient	20.0%
Lactose	79.5%
Magnesium stearate	0.5%

5

The ingredients are mixed and dispensed into capsules containing about 100 mg each; one capsule would approximate a total daily dosage.

Composition for Oral Administration

Ingredient	% wt./wt.
Active ingredient	20.0%
Magnesium stearate	0.5%
Crosscarmellose sodium	2.0%
Lactose	76.5%
PVP (polyvinylpyrrolidone)	1.0%

10      The ingredients are combined and granulated using a solvent such as methanol. The formulation is then dried and formed into tablets (containing about 20 mg of active compound) with an appropriate tablet machine.

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Composition for Oral Administration

Ingredient	<u>Amount</u>
Active compound	1.0 g
Fumaric acid	0.5 g
Sodium chloride	2.0 g
Methyl paraben	0.15 g
Propyl paraben	0.05 g
Granulated sugar	25.5 g
Sorbitol (70% solution)	12.85 g
Veegum K (Vanderbilt Co.)	1.0 g
Flavoring	0.035 ml
Colorings	0.5 mg
Distilled water	q.s. to 100 ml

The ingredients are mixed to form a suspension for oral administration.

Parenteral Formulation

Ingredient	% wt./wt.
Active ingredient	0.25 g
Sodium Chloride	qs to make isotonic
Water for injection	100 ml

5

The active ingredient is dissolved in a portion of the water for injection. A sufficient quantity of sodium chloride is then added with stirring to make the solution

isotonic. The solution is made up to weight with the remainder of the water for injection, filtered through a 0.2 micron membrane filter and packaged under sterile conditions.

#### Suppository Formulation

Ingredient	% wt./wt.
Active ingredient	1.0%
Polyethylene glycol 1000	74.5%
Polyethylene glycol 4000	24.5%

5

The ingredients are melted together and mixed on a steam bath, and poured into molds containing 2.5 g total weight.

#### Topical Formulation

Ingredients	grams
Active compound	0.2-2
Span 60	2
Tween 60	2
Mineral oil	5
Petrolatum	10
Methyl paraben	0.15
Propyl paraben	0.05
BHA (butylated hydroxy anisole)	0.01
Water	q.s. 100

All of the ingredients, except water, are combined and heated to about 60°C with stirring. A sufficient quantity of water at about 60°C is then added with vigorous stirring to emulsify the ingredients, and water then added q.s. about 100 g.

5 Nasal Spray Formulations

Several aqueous suspensions containing from about 0.025-0.5 percent active compound are prepared as nasal spray formulations. The formulations optionally contain inactive ingredients such as, for example, microcrystalline cellulose, sodium carboxymethylcellulose, dextrose, and the like. Hydrochloric acid may be added to adjust pH. The nasal spray formulations may be delivered via a nasal spray metered pump typically delivering about 50-100 microliters of formulation per actuation. A typical dosing schedule is 2-4 sprays every 4-12 hours.

### Example 6

### Radioligand binding studies

15 This example illustrates *in vitro* radioligand binding studies of Compound of Formula I.

The binding activity of compounds of this invention *in vitro* was determined as follows. Duplicate determinations of ligand affinity are made by competing for binding of [<sup>3</sup>H]LSD in cell membranes derived from HEK293 cells stably expressing recombinant human 5-HT<sub>6</sub> receptor.

All determinations were made in assay buffer containing 50 mM Tris-HCl, 10 mM MgSO<sub>4</sub>, 0.5 mM EDTA, 1 mM ascorbic acid, pH 7.4 at 37 °C, in a 250 microliter reaction volume. Assay tubes containing [<sup>3</sup>H] LSD (5 nM), competing ligand, and membrane were incubated in a shaking water bath for 60 min. at 37 °C, filtered onto Packard GF-B plates (pre-soaked with 0.3% PEI) using a Packard 96 well cell harvester and washed 3 times in ice cold 50 mM Tris-HCl. Bound [<sup>3</sup>H] LSD was determined as radioactive counts per minute using Packard TopCount.

Displacement of [3H]LSD from the binding sites was quantified by fitting concentration-binding data to a 4-parameter logistic equation:



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$$\text{binding} = \text{basal} + \left( \frac{\text{Bmax} - \text{basal}}{1 + 10^{-\text{Hill}(\log[\text{ligand}] - \log \text{IC}_{50})}} \right)$$

where *Hill* is the Hill slope, [ligand] is the concentration of competing radioligand and  $\text{IC}_{50}$  is the concentration of radioligand producing half-maximal specific binding of radioligand. The specific binding window is the difference between the Bmax and the  
 5 basal parameters.

Using the procedures of this Example, compounds of Formula I were tested and found to be selective 5-HT<sub>6</sub> antagonists. Representative affinity values for the compounds of the invention are shown in Table 2.

TABLE 2

Compound	pKi
4-(2-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	9.04
4-(2-fluoro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	9.17
4-Benzyl-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	9.13
(S)-4-Benzyl-2-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	9.12
4-(3-Chloro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one	9.12

10

### Example 7

#### Cognition Enhancement

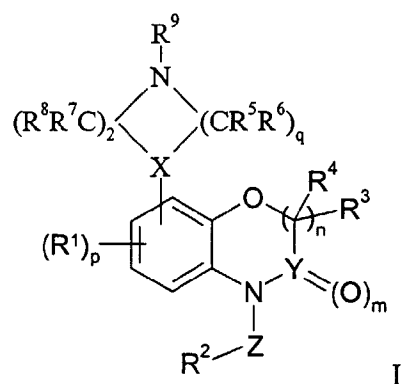
The cognition-enhancing properties of compounds of the invention may be in a model of animal cognition: the object recognition task model. 4-month-old male Wistar  
 15 rats (Charles River, The Netherlands) were used. Compounds were prepared daily and dissolved in physiological saline and tested at three doses. Administration was always given i.p. (injection volume 1 ml/kg) 60 minutes before T1. Scopolamine hydrobromide was injected 30 minutes after compound injection. Two equal testing groups were made of 24 rats and were tested by two experimenters. The testing order of doses was

determined randomly. The experiments were performed using a double blind protocol. All rats were treated once with each dose condition. The object recognition test was performed as described by Ennaceur, A., Delacour, J., 1988, A new one-trial test for neurobiological studies of memory in rats. 1: Behavioral data. Behav. Brain Res. 31, 47-  
5 59.

While the present invention has been described with reference to the specific embodiments thereof, it should be understood by those skilled in the art that various changes may be made and equivalents may be substituted without departing from the true spirit and scope of the invention. In addition, many modifications may be made to  
10 adapt a particular situation, material, composition of matter, process, process step or steps, to the objective spirit and scope of the present invention. All such modifications are intended to be within the scope of the claims appended hereto.

## Claims

1. A compound of the formula:



or a pharmaceutically acceptable salt or prodrug thereof,

5 wherein:

Y is C or S;

m is 1 when Y is C and m is 2 when Y is S;

n is 1 or 2;

p is from 0 to 3;

10 q is from 1 to 3;

Z is  $-(CR^aR^b)_r-$  or  $-SO_2-$ , where each of  $R^a$  and  $R^b$  is independently hydrogen or alkyl;

r is from 0 to 2;

X is CH or N;

15 each  $R^1$  is independently halo, alkyl, haloalkyl, heteroalkyl, alkoxy, cyano,  $-S(O)_s-R^c$ ,  $-C(=O)-NR^cR^d$ ,  $-SO_2-NR^cR^d$ ,  $-N(R^c)-C(=O)-R^d$ , or  $-C(=O)-R^c$ , where each of  $R^c$  and  $R^d$  is independently hydrogen or alkyl;

s is from 0 to 2;

$R^2$  is optionally substituted aryl or optionally substituted heteroaryl;

each of  $R^3$  and  $R^4$  is independently hydrogen or alkyl, or  $R^3$  and  $R^4$  together with their shared carbon may form a cycloalkyl ring of 3 to 6 members; and

5 each of  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  is independently hydrogen or alkyl, or one of  $R^5$  and  $R^6$  together with one of  $R^7$ ,  $R^8$  and  $R^9$  and the atoms therebetween may form a ring of 5 to 7 members.

2. A compound of claim 1

wherein:

10 Y is C or S;

m is 1 when Y is C and m is 2 when Y is S;

n is 1;

p is from 0 or 1;

q is 2;

15 Z is  $-(CR^aR^b)_r$ , where each of  $R^a$  and  $R^b$  is independently hydrogen or alkyl;

r is 1;

X is N;

$R^2$  is optionally substituted aryl or optionally substituted heteroaryl;

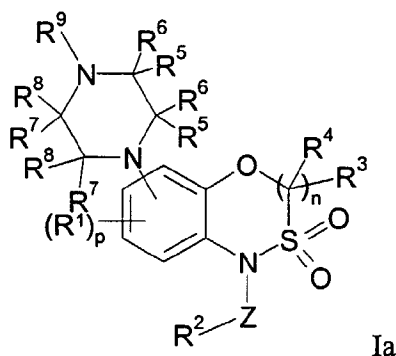
20 each of  $R^3$  and  $R^4$  is independently hydrogen or alkyl, or  $R^3$  and  $R^4$  together with their shared carbon may form a cycloalkyl ring of 3 to 6 members; and

each of  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  is independently hydrogen or alkyl.

25 3. The compound of claim 2, wherein each of  $R^a$  and  $R^b$  is independently hydrogen or methyl;  $R^2$  is optionally substituted phenyl, naphthyl or

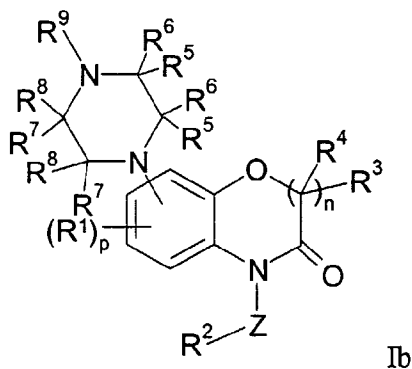
optionally substituted pyridine; each of  $R^3$  and  $R^4$  is independently hydrogen or methyl, or  $R^3$  and  $R^4$  together form a cyclobutyl ring.

4. The compound of claim 3, wherein  $R^2$  is 2-halophenyl, 3-halophenyl, 4-halophenyl, naphthyl-2-yl, 3-cyanophenyl, 4-cyanophenyl, 3-nitrophenyl, 3-aminophenyl, 3-methoxyphenyl, 3-ureaphenyl, 3-methylsulfonylamino-phenyl or pyridine-4-yl.
5. The compound of claim 1, wherein said compound is of the formula



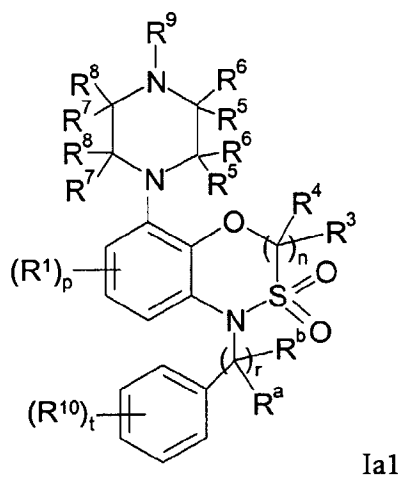
or a pharmaceutically acceptable salt or prodrug thereof, wherein Z,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ , n, and p are as defined in claim 1.

6. The compound of claim 1, wherein said compound is of the formula



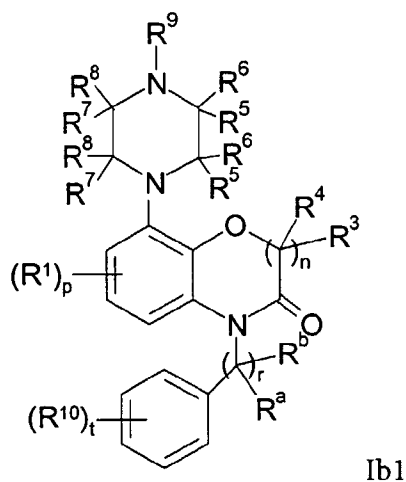
or a pharmaceutically acceptable salt or prodrug thereof, wherein Z,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ , n, and p are as defined in claim 1.

7. The compound of claim 1, wherein said compound is of the formula



or a pharmaceutically acceptable salt or prodrug thereof, wherein  $R^1$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^a$ ,  $R^b$ ,  $n$ ,  $r$  and  $p$  are as defined in claim 1, and wherein  $t$  is from 0 to 4; and each  $R^{10}$  independently is hydrogen, halo alkyl, alkoxy, cyano, nitro, amino, urea or ethanesulfonylamino.

8. The compound of claim 1, wherein said compound is of the formula



or a pharmaceutically acceptable salt or prodrug thereof, wherein  $R^1$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$ ,  $R^9$ ,  $R^a$ ,  $R^b$ ,  $n$ ,  $r$  and  $p$  are as defined in claim 1, and wherein  $t$  is from 0 to 4; and each  $R^{10}$  independently is hydrogen, halo alkyl, alkoxy, cyano, nitro, amino, urea or ethanesulfonylamino.

9. The compound of claim 1 to 8, wherein said compound is selected from:

4-benzyl-6-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;

- 4-benzyl-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-fluoro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-chloro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(3-chloro-benzyl)-6-methoxy-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 5 4-benzyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-benzyl-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(4-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(4-chloro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 10 4-(4-fluoro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-fluoro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(2-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(4-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 6-fluoro-4-naphthalen-2-ylmethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 15 4-(3-chloro-benzyl)-6-fluoro-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 3-(3-oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-benzonitrile;
- 4-(3-fluoro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-benzyl-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- (R)-4-benzyl-2-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 20 4-Benzyl-6-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(4-Fluoro-benzyl)-6-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- (S)-4-Benzyl-2-methyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 8-Piperazin-1-yl-4-pyridin-4-ylmethyl-4H-benzo[1,4]oxazin-3-one;

- 4-Benzyl-6-methyl-8-(4-methyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one;
- 4-Benzyl-8-(4-methyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one;
- 4-(1-Phenyl-ethyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(3-Methoxy-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 5 4-(3-Nitro-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(3-Amino-benzyl)-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-  
benzonitrile;
- N-[3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-  
10 phenyl]-methanesulfonamide;
- 4-(4-Fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(3-Fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- [3-(3-Oxo-8-piperazin-1-yl-2,3-dihydro-benzo[1,4]oxazin-4-ylmethyl)-phenyl]-  
urea;
- 15 4-(3-Chloro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-Benzyl-8-(3,5-dimethyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one;
- 4-(4-Chloro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-Benzyl-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;
- 4-(4-Chloro-benzyl)-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-  
20 benzo[1,4]oxazin-3-one;
- 6-Fluoro-4-(3-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-  
benzo[1,4]oxazin-3-one;
- 6-Fluoro-4-(2-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-  
benzo[1,4]oxazin-3-one;



6-Fluoro-4-(4-fluoro-benzyl)-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one

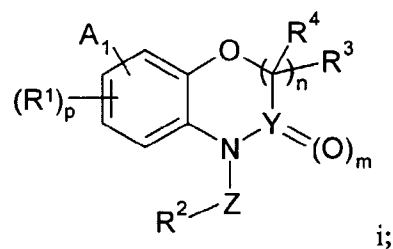
4-(3-Chloro-benzyl)-6-fluoro-2,2-dimethyl-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one;

- 5      4-Benzyl-8-(3,3-dimethyl-piperazin-1-yl)-4H-benzo[1,4]oxazin-3-one;  
 1-Benzyl-5-piperazin-1-yl-1H-benzo[1,3,4]oxathiazine 2,2-dioxide; and  
 4-Benzyl-2,2-spiro-cyclobutan-8-piperazin-1-yl-4H-benzo[1,4]oxazin-3-one.

10. A pharmaceutical composition comprising an efficacious amount of the compound of claim 1 in admixture with a pharmaceutically acceptable carrier.

- 10      11. A process for producing a substituted benzoxazinone compound, said process comprising:

contacting an N-arylalkyl benzoxazinone of the formula

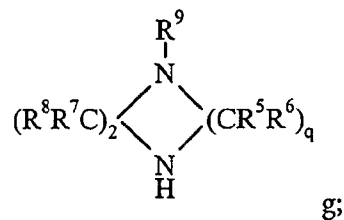


wherein:

- 15      A<sub>1</sub> is a leaving group;  
 Z, Y, R<sup>1</sup>, R<sup>3</sup>, R<sup>4</sup>, m, n, and p are as defined in claim 1;  
 R<sup>2</sup> is aryl or heteroaryl which is optionally substituted by (R<sup>10</sup>)<sub>t</sub>, wherein  
 t is from 0 to 4;  
 each R<sup>10</sup> is independently hydrogen, halo, alkyl, alkoxy, cyano, nitro,  
 20      amino, urea or ethanesulfonylamino;

with a heterocyclic compound of the formula:

- 73 -

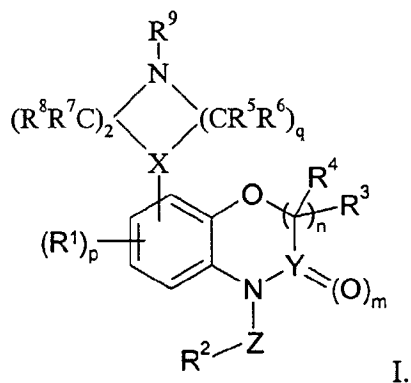


wherein:

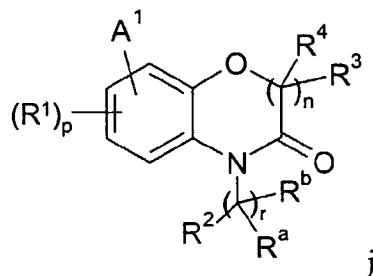
q is from 1 to 3; and

each of  $R^5$ ,  $R^6$ ,  $R^7$ ,  $R^8$  and  $R^9$  is independently hydrogen or alkyl, or one of  $R^5$  and  $R^6$  together with one of  $R^7$ ,  $R^8$  and  $R^9$  may form a ring of 5 to 7 members;

in the presence of a palladium catalyst to produce the heterocyclyl-substituted N-arylalkyl benzoxazinone compound of the formula:

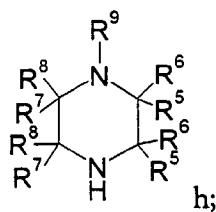


12. The process of claim 11, said process comprising:  
contacting an N-arylalkyl benzoxazinone of the formula

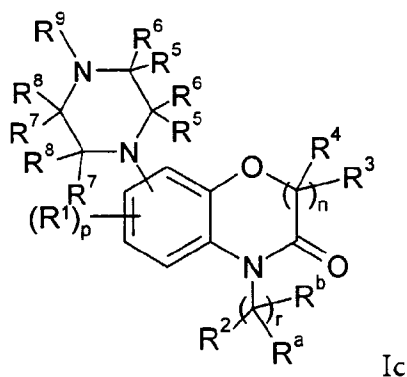


with the heterocyclic compound of the formula

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such that the heterocyclyl-substituted N-arylalkyl benzoxaninone compound is of the formula:



5 and  $R^1, R^2, R^3, R^4, R^5, R^6, R^7, R^8, R^9, R^{10}, n, p, r$  and  $t$  are as described in claim 11.

13. The process of claim 11, wherein the leaving groups  $A^1$  is halo.

14. Use of one or more compounds of any claim 1 to 9 for the manufacture of a medicament for the treatment or prevention of a central nervous system disease state.

15. The use of claim 14, wherein the disease state is selected from psychoses,  
10 schizophrenia, manic depressions, neurological disorders, memory disorders, attention deficit disorder, Parkinson's disease, amyotrophic lateral sclerosis, Alzheimer's disease and Huntington's disease.

16. Use of one or more compound of any claim 1 to 9 for the manufacture of a medicament for the treatment or prevention of a disorder of the gastrointestinal tract.

15 17. The invention as hereinbefore described.

## INTERNATIONAL SEARCH REPORT

Internat l ilication No

PCT/EP 03/12278

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07D265/36 C07D413/06 C07D291/08 A61K31/538 A61K31/54  
A61P25/28

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, CHEM ABS Data, BEILSTEIN Data, EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	WO 03 095434 A (HOFFMANN LA ROCHE) 20 November 2003 (2003-11-20) the whole document	1-17
A	<p>--- BROMIDGE S M ET AL: "Phenyl benzenesulfonamides are novel and selective 5-HT6 antagonists: identification of N-(2,5-dibromo-3-fluorophenyl)-4-methoxy-3 -piperazin-1-ylbenzenesulfonamide (SB-357134)" BIOORGANIC &amp; MEDICINAL CHEMISTRY LETTERS, OXFORD, GB, vol. 11, no. 1, 8 January 2001 (2001-01-08), pages 55-58, XP004225321 ISSN: 0960-894X the whole document</p> <p>--- -/--</p>	1-17

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## ° Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

18 March 2004

Date of mailing of the international search report

24/03/2004

Name and mailing address of the ISA

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## INTERNATIONAL SEARCH REPORT

Internat ilication No

PCT/EP 03/12278

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 01 14330 A (TULP MARTINUS T M ;VLIET BERNARD J VAN (NL); HES ROELOF VAN (NL);) 1 March 2001 (2001-03-01) the whole document ---	1-17
A	US 4 902 335 A (KUME TOYOHICO ET AL) 20 February 1990 (1990-02-20) the whole document ---	1-17
P, A	WO 03 014097 A (HOFFMANN LA ROCHE) 20 February 2003 (2003-02-20) the whole document ---	1-17
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A	EP 0 478 446 A (ADIR) 1 April 1992 (1992-04-01) the whole document ---	1-17
A	WO 02 34754 A (JOHNSON CHRISTOPHER NORBERT ;RAMI HARSHAD KANTILAL (GB); VONG ANTO) 2 May 2002 (2002-05-02) see whole document, able 5 and E158 -----	1-17

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1,5-8(partly)

Present claims 1,5-8 are directed to a compound defined by reference to a desirable characteristic or property, namely that it be a prodrug of formula I.

The claims cover all compounds having this characteristic or property, whereas the application provides support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT for none of such compounds. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Independent of the above reasoning, the claims also lack clarity (Article 6 PCT). An attempt is made to define the compound by reference to a result to be achieved. Again, this lack of clarity in the present case is such as to render a meaningful search over the whole of the claimed scope impossible. Consequently, the search has been carried out for those parts of the claims which appear to be clear, supported and disclosed, namely those parts relating to the compounds of formula I and their pharmaceutically acceptable salts.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP 03/12278

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 1,5-8(partly)  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Internat application No

PCT/EP 03/12278

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WO 03095434	A	20-11-2003	WO 03095434 A1 US 2003232825 A1	20-11-2003 18-12-2003
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Internal publication No

PCT/EP 03/12278

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			EP 1330460 A2	30-07-2003
			HU 0301458 A2	28-10-2003
			NO 20031838 A	24-06-2003